

# Math 775 Homework

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## Problem 1

Suppose sets  $S_1, S_2, \dots, S_n$  contain, respectively,  $2, 3, \dots, n_1$  elements.

**Proposition 1.** *The number of SDR's is at least  $2^n$ , and this bound is tight.*

*Proof.* We establish the lower bound by induction on  $n$ . For the case  $n = 1$ , we have only the set  $S_1$ , and so a total of two SDR's. Suppose now that there are at least  $2^k$  SDR's for the sets  $S_1, \dots, S_k$ . For each SDR on the first  $k$  sets, we can extend to an SDR on  $k + 1$  sets by appending a representative for  $S_{k+1}$ . Since  $S_{k+1}$  contains  $k + 2$  elements, we have at least two choices for this representative. Thus, we have  $2 \cdot 2^k = 2^{k+1}$  possible SDR's on  $k + 1$  sets, as desired.

To see that the bound is tight, let each  $S_i = [i + 1]$ . Proceeding as in the induction, we see that there are exactly SDRs for the set  $S_1$ . Suppose now that there are exactly  $2^k$  SDRs for the sets  $S_1, \dots, S_k$ . To extend a given SDR on the first  $k$  sets to an SDR on all  $k + 1$  sets, we must choose an element from  $[k + 2]$  that is distinct from all other representatives. Since  $S_j \subset S_{k+1}$  for all  $j \leq k$ , it follows that there are only two elements of  $S_{k+1}$  that are not currently representing another set. Thus, there are exactly  $2 \cdot 2^k = 2^{k+1}$  possible SDR's on these  $k + 1$  sets, as desired.  $\square$

## Problem 2

**Proposition 2.** *Let  $0 < t < n$ . There are sets  $(S_1, \dots, S_n)$  for which there exists an SDR and elements  $(a_1, \dots, a_t)$  that comprise an SDR for  $(S_1, \dots, S_t)$  such that  $\{a_1, \dots, a_t\}$  cannot in any order represent  $(S_1, \dots, S_t)$  in any SDR of  $(S_1, \dots, S_n)$ .*

*Proof.* Define

$$S_i = \begin{cases} [i] & \text{if } i \leq t - 1 \\ [t + 1] & \text{if } i = t \\ [t] & \text{if } i = t + 1 \\ [i] & \text{if } i \geq t + 2. \end{cases}$$

(We have  $S_i = [i]$  for all  $i$ , except that the roles of  $S_t$  and  $S_{t+1}$  have been switched.)

Observe first that there is indeed an SDR for  $(S_1, \dots, S_n)$  by letting

$$a_i = \begin{cases} i & \text{if } i \leq t-1 \\ t+1 & \text{if } i = t \\ t & \text{if } i = t+1 \\ i & \text{if } i \geq t+2. \end{cases}$$

(We have  $a_i = i$  for all  $i$ , except that the roles of  $a_t$  and  $a_{t+1}$  have been switched.)

We next consider a partial SDR that cannot be extended into a full SDR. To that end, let  $a_i = i$  for  $i \leq t$ . Evidently, the  $a_i$  comprise an SDR for  $(S_1, \dots, S_t)$ . They cannot in any order represent  $(S_1, \dots, S_t)$  in any SDR of  $(S_1, \dots, S_n)$ , as there will never be a valid choice of representative for  $S_{t+1}$ .  $\square$

**Proposition 3.** *Suppose the sets  $(S_1, \dots, S_n)$  have an SDR, and suppose that  $(a_1, \dots, a_t)$  is an SDR for  $(S_1, \dots, S_t)$ . Under these assumptions, there is an SDR for  $(S_1, \dots, S_n)$  that contains  $a_1, \dots, a_t$  (although they do not necessarily represent sets in  $(S_1, \dots, S_t)$ ).*

*Proof.* Define the bipartite graph  $G$  with vertex set  $A \cup B$  where  $A = \bigcup_{i=1}^n S_i$  (so,  $A$  is the collection of all elements appearing among the  $S_i$ ) and  $B = \{S_1, S_2, \dots, S_n\}$  (so,  $B$  is the collection of the  $S_i$  themselves). We include an edge between an element  $a \in A$  and a set  $S \in B$  if  $a \in S$ . The partial SDR  $(a_1, \dots, a_t)$  thus corresponds to a partial matching in  $G$  (take the edge  $a_i S_i$  for  $1 \leq i \leq t$ ). Applying an augmenting path algorithm, this partial matching can be extended into a perfect matching in  $G$  (we are guaranteed perfection since the  $S_i$  indeed admit a full SDR), which then can be interpreted as a full SDR as described above.

It remains to show that the elements  $a_1, \dots, a_t$  are present in the matching obtained at the end of the algorithm. This is obvious, however, as the augmenting step of the algorithm simply appends a new vertex and switches “matched” and “unmatched” edges. Hence, any vertex present in the alternating path at the augmenting step of the algorithm will be assigned some edge, though it will not be the same edge as when it entered the step. This corresponds to the fact that the  $a_i$  for  $1 \leq i \leq t$  will be present in the full SDR, though they may represent different sets than they did in the partial SDR.  $\square$

### Problem 3

**Lemma 1.** *If  $A$  is a square matrix in which each row sum is at most 1, then  $\text{per}(A) \leq 1$ .*

*Proof.* We proceed by induction on the order  $n$  of the matrix  $A$ . If  $n = 1$ , then

$$\begin{aligned} \text{per}(A) &= a_{1,1} \\ &\leq 1. \end{aligned}$$

Suppose now that  $n \geq 2$ . Let  $A_i$  denote the matrix obtained by suppressing the first row and the  $i^{\text{th}}$  column of  $A$ . It follows that

$$\begin{aligned} \text{per}(A) &= \sum_{i=1}^n a_{1,i} \text{per}(A_i) \\ &\leq \sum_{i=1}^n a_{1,i} \cdot 1 && \text{(by the inductive hypothesis)} \\ &= \sum_{i=1}^n a_{1,i} \\ &\leq 1. \end{aligned}$$

□

**Proposition 4.** *If  $A$  is a doubly-stochastic matrix, then  $0 < \text{per}(A) \leq 1$ .*

*Proof.* From the lemma, we see immediately that  $\text{per}(A) \leq 1$ .

To show that  $\text{per}(A) > 0$ , we seek a single positive term in its expansion (this is sufficient since all entries of a doubly-stochastic matrix are non-negative). To that end, consider a bipartite graph  $G$  with vertex set  $A \cup B$  corresponding to the row and column indices, respectively. We include an edge between  $i \in A$  and  $j \in B$  whenever  $a_{i,j} > 0$ . Notice that a perfect matching in this graph would correspond to the entries of a single positive term in the expansion of  $\text{per}(A)$  (each row  $i$  is paired with a unique column  $j$ , corresponding to the positive element  $a_{i,j}$ ).

We show now that  $G$  indeed possesses a perfect matching by verifying the marriage condition and invoking Hall's Theorem. To that end, choose some subset  $A'$  of  $A$  of size  $k$ . Viewing the edge  $ij$  as having weight  $a_{i,j}$ , the total edge weight leaving  $A'$  is  $k$  (since every row sum is equal to 1). It follows that the neighborhood of  $A'$  has size at least  $k$ , else some vertex receives weight greater than 1 (which would imply that the sum of the entries of the corresponding column is greater than 1). Hence,  $G$  satisfies the marriage condition, thus completing the proof. □

**Proposition 5.** *If  $A$  is a doubly-stochastic matrix with  $\text{per}(A) = 1$ , then  $A$  is a permutation matrix.*

*Proof.* We proceed by induction on the order  $n$  of the matrix  $A$ . If  $n = 1$ , then  $a_{1,1} = 1$ , and so  $A$  is a permutation matrix. Suppose now that  $n \geq 2$ . Defining  $A_i$  as before, we have

$$\begin{aligned} \text{per}(A) &= \sum_{i=1}^n a_{1,i} \text{per}(A_i) \\ &= 1. \end{aligned}$$

By the lemma,  $\text{per}(A_i) \leq 1$  for all  $i$ . Since

$$\sum_{i=1}^n a_{1,i} = 1,$$

it must be that  $\text{per}(A_i) = 1$  whenever  $i$  is such that  $a_{1,i} > 0$ . By the inductive hypothesis,  $A_i$  is a permutation matrix for the aforementioned  $i$ 's.

We next argue that only one of the  $a_{1,i}$  is greater than 0. Suppose to the contrary that distinct  $a_{1,i_1}$  and  $a_{1,i_2}$  are both greater than 0. Since  $A_{i_1}$  is a permutation matrix, there must be a 1-entry in column  $i_2$  of  $A_{i_1}$ . This implies that the entries in column  $i_2$  of  $A$  sum to  $a_{1,i_2} + 1 > 1$ , which is a contradiction with the fact that  $A$  is doubly-stochastic. Hence, there is a unique entry  $a_{1,i}$  that is greater than 0.

Finally, since  $a_{1,i}$  is the unique nonzero entry in the first row of  $A$ , it must be that  $a_{1,i} = 1$ . Thus,  $a_{1,i}$  is also the unique nonzero entry of column  $i$ , as well. Combined with the earlier observation that  $A_i$  is a permutation matrix, we conclude that  $A$  is a permutation matrix, as desired.  $\square$

## Problem 4

**Proposition 6.** *If  $A$  is a  $(0,1)$ -matrix, then  $\rho(A) \geq \text{rank}(A)$ .*

*Proof.* Consider some minimal covering of the 1's of  $A$  by  $\rho(A)$  lines (by the König-Egerváry theorem, this is equivalent to having term  $\text{rank } \rho(A)$ ). Our goal is to construct a new matrix,  $A'$ , via row operations that can be covered by  $\rho(A)$  horizontal lines. From there, we can easily conclude that  $\rho(A) = \rho(A') \geq \text{rank}(A') = \text{rank}(A)$ . (The fact that  $\rho(A') \geq \text{rank}(A')$  comes from the observation that the former counts the number of nonzero rows of  $A'$ , which is in general larger than the latter.)

To complete the proof, we induct on the number of 1's of  $A$  not covered by horizontal lines. If there are no such 1's, then there is nothing to show. Otherwise, consider a 1-entry  $a_{ij}$  covered by a line over column  $j$  (and no line over row  $i$ ). Applying row operations, we can cancel all 1's in column  $j$  that are not covered by horizontal lines. We can now change the line over column  $j$  to a line over row  $i$ , but we need to worry whether any new 1's introduced in other columns during the row operation. Observe, however, that any 1-entry  $a_{ij'}$  must be covered by a line over column  $j'$ , since there is no line over row  $i$ , by assumption. Hence, any new 1's introduced during row operations by entry  $a_{ij'}$  will appear in column  $j'$ , and so remain covered. Thus, we have changed one column line into a row line without increasing the total number of lines used. Appealing to the induction hypothesis, we can change all column lines into row lines, thus completing the proof.  $\square$

## Problem 5

**Proposition 7.** *Let  $A$  be a  $(-1, 1)$ -matrix of order  $n$ . If  $|\text{per}(A)| = n!$ , then  $\text{rank}(A) = 1$ .*

*Proof.* We show, equivalently, that if  $|\text{per}(A)| = n!$ , then any row of  $A$  is a scalar multiple (chosen from  $\{1, -1\}$ ) of the first.

We proceed by establishing the contrapositive. To that end, suppose that some row  $i$  cannot be written as a scalar multiple of row 1. That is, there exist entries  $a_{1,j}$ ,  $a_{1,j'}$ ,  $a_{i,j}$ , and  $a_{i,j'}$  such that  $a_{1,j}a_{i,j'} \neq a_{1,j'}a_{i,j}$ . Let now  $\sigma$  and  $\tau$  be permutations on  $[n]$  satisfying  $\sigma(1) = j$ ,  $\sigma(i) = j'$ ,  $\tau(1) = j'$ ,  $\tau(i) = j$ , and  $\sigma(\ell) = \tau(\ell)$  for  $\ell \notin \{1, i\}$ . (In words,  $\sigma$  is identically equal to  $\tau$  except that their values on 1 and  $i$  have been swapped.) It follows that

$$\prod_{k=1}^n a_{k,\sigma(k)} = \prod_{k=1}^n a_{k,\tau(k)}$$

if and only if both products are zero. Thus,

$$\begin{aligned} |\text{per}(A)| &= \left| \sum_{\pi \in S_n} \prod_{k=1}^n a_{k,\pi(k)} \right| \\ &= \left| \sum_{\pi \in S_n \setminus \{\sigma, \tau\}} \prod_{k=1}^n a_{k,\pi(k)} \right| \\ &\leq \sum_{\pi \in S_n \setminus \{\sigma, \tau\}} 1 \\ &= n! - 2. \end{aligned}$$

Therefore, if some row of  $A$  is not a scalar multiple of the first (equivalently,  $\text{rank}(A) > 1$ ), then  $|\text{per}(A)| < n!$ , thus establishing the contrapositive.  $\square$

## Problem 6

**Proposition 8.** *Let  $A = [a_{ij}]$  be a  $(0, 1)$ -matrix of order  $n$ . If, for all  $i < j$ , one of  $a_{ij}$  or  $a_{ji}$  is nonzero, then  $\rho(A) \in \{n-1, n\}$ .*

*Proof.* Suppose, to the contrary, that  $\rho(A) \leq n-2$ . Let  $R$  denote the indices of rows *not* covered by one of the  $n-2$  lines. Similarly, let  $C$  denote the indices of columns *not* covered by one of the  $n-2$  lines. By the inclusion-exclusion principle

$$|R \cup C| = |R| + |C| - |R \cap C|.$$

We know that  $|R \cup C| \leq n$ , since there only  $n$  distinct indices. We know also that

$$\begin{aligned} |R| + |C| &= 2n - \rho(A) \\ &\geq 2n - (n - 2) \\ &= n + 2. \end{aligned}$$

It follows that

$$\begin{aligned} n &\geq |R \cup C| \\ &= |R| + |C| - |R \cap C| \\ &\geq n + 2 - |R \cap C|, \end{aligned}$$

and so  $|R \cap C| \geq 2$ . In terms of the covering, this means that there are at least two indices  $i$  and  $j$  such that none of row  $i$ , row  $j$ , column  $i$ , and column  $j$  are covered. Thus, neither  $a_{i,j}$  nor  $a_{j,i}$  is covered, but at least one of these entries is a 1. Thus, the assumption that  $\rho(A) \leq n - 2$  is false, and so  $\rho(A) \in \{n - 1, n\}$ , as desired.  $\square$

## Problem 7

**Proposition 9.** *A square  $(0, 1)$ -matrix  $A$  has  $\text{per}(A) = 1$  if and only if there exist permutation matrices  $P$  and  $Q$  such that  $PAQ$  is an upper triangular matrix with 1's on the main diagonal.*

*Proof.* Note first that the permanent is invariant under permutation of rows and columns. Thus, if the specified permutation matrices  $P$  and  $Q$  exist, then  $\text{per}(A) = \text{per}(PAQ) = 1$  (the only term contributing to the permanent is the one corresponding to the identity permutation which chooses the 1's from the main diagonal).

For the other implication, we proceed by induction on the order  $n$  of the matrix  $A$ . If  $n = 1$ , then  $a_{1,1} = 1$ , and so  $A$  is a permutation matrix.

Suppose now that  $n \geq 2$ . Consider first the case where there exists some column containing a exactly one 1-entry. Choose permutation matrices  $P_1$  and  $Q_1$  such that the aforementioned one is in the first row and first column of  $P_1AQ_1$ . Ignore the first row and column and consider the resulting  $(n - 1) \times (n - 1)$  submatrix. By the inductive hypothesis, there exist permutation matrices  $P_2$  and  $Q_2$  so that  $P_2AQ_2$  is upper-triangular. Thus,  $P_2P_1AQ_1Q_2$  is upper triangular. Since the product of two permutation matrices is again a permutation matrix, we take  $P = P_2P_1$  and  $Q = Q_1Q_2$  as the desired permutation matrices.

Suppose now that every column of  $A$  contains at least two 1-entries. We show that this assumption leads to a contradiction with the fact that  $\text{per}(A) = 1$ . Define the bipartite graph  $G$  with vertex set  $R \cup C$ , the collection of row and column indices, respectively. We say that  $i \in R$  is adjacent to  $j \in C$  whenever  $a_{i,j} = 1$ . Now, if  $\text{per}(A) = 0$ , there is nothing to show. Suppose instead that

$\text{per}(A) \geq 1$ . Form the subgraph  $H$  of  $G$  wherein we force the row vertices to have degree exactly two by choosing, for each row vertex, one edge contributing to the permanent and one edge not contributing (that is, the entries these edges represent in the  $A$ ). The subgraph  $H$  is a bipartite graph on  $2n$  vertices with  $2n$  edges, and so contains an even cycle. Moreover, the edges of the cycle alternate “contributing”/“non-contributing”. By switing the roles of “contributing” and “non-contributing” on this cycle only and combining with the other contributing edges, we obtain another positive term in the permanent. Hence,  $\text{per}(A) \geq 2$ , which is the desired contradiction. Therefore,  $A$  cannot contain two or more 1-entries in every column, and so only the previous case remains, in which  $A$  could be made upper-triangular with 1’s on the main diagonal.  $\square$

## Problem 8

**Proposition 10.** *For positive integers  $s$  and  $t$ , let  $P(s, t)$  denote the probability that a random function  $f : S \rightarrow T$  is injective, where  $S$  and  $T$  are sets with  $|S| = s$  and  $|T| = t$ . If  $t \sim ks$  for some constant  $k > 1$ , then  $P(s, t) \rightarrow 0$  as  $s \rightarrow \infty$ .*

*Proof.* Order the elements of  $S$  arbitrarily as  $x_1, x_2, \dots, x_s$ . In constructing an injective function  $f$ , we have  $t$  choices for  $f(x_1)$ ,  $t - 1$  choices for  $f(x_2)$  (it must differ from  $f(x_1)$ ), and so on until we are left with  $t - (s - 1)$  choices for  $f(x_s)$ . Thus, there are  $(t)_s$  (the falling factorial) injective functions from  $S$  into  $T$ . As there are  $t^s$  possible functions (not necessarily injective) from  $S$  into  $T$ , we have

$$\begin{aligned} P(s, t) &= \frac{(t)_s}{t^s} \\ &= \frac{t!}{(t - s)!t^s}. \end{aligned}$$

Applying Stirling’s approximation and using the fact that  $t \sim ks$  for some constant  $k > 1$ , it follows that

$$\begin{aligned} P(s, t) &= \frac{t!}{(t - s)!t^s} \\ &\sim \frac{(ks)!}{((k - 1)s)!(ks)^s} \\ &\sim \frac{\sqrt{2\pi ks}(ks)^{ks}e^{-ks}}{\sqrt{2\pi(k - 1)s}((k - 1)s)^{(k-1)s}e^{-(k-1)s}(ks)^s} \\ &\sim \frac{(ks)^{ks}e^{-ks}}{(ks)^{(k-1)s}e^{-(k-1)s}(ks)^s} \\ &= \frac{(ks)^{ks}e^{-ks}}{(ks)^{ks}e^{-(k-1)s}} \\ &= e^{-s}, \end{aligned}$$

which converges to 0 as  $s \rightarrow \infty$ . □

## Problem 1

**Proposition 11.** *Let  $R = (3, 4, 4, 4, 2)$  and  $S = (2, 4, 2, 4, 3, 2)$ . The class  $\mathcal{A}(R, S)$  is nonempty.*

*Proof.* We first construct the maximal  $5 \times 6$  matrix with row sum vector  $R$ , namely

$$\bar{A}_R = \begin{bmatrix} 1 & 1 & 1 & 0 & 0 & 0 \\ 1 & 1 & 1 & 1 & 0 & 0 \\ 1 & 1 & 1 & 1 & 0 & 0 \\ 1 & 1 & 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 \end{bmatrix}.$$

The matrix above corresponds to the column sum vector  $S' = (5, 5, 4, 3, 0, 0)$ . By the Gale-Ryser Theorem,  $\mathcal{A}(R, S)$  is nonempty if and only if  $S'$  majorizes  $S$ . To establish this criterion, let  $s_i$  and  $s'_i$  denote the  $i^{\text{th}}$  partial sum of  $S$  and  $S'$  respectively. We have

$$s_1 = 4, s_2 = 8, s_3 = 11, s_4 = 13, s_5 = 15, s_6 = 17$$

and

$$s'_1 = 5, s'_2 = 10, s'_3 = 14, s'_4 = 17, s'_5 = 17, s'_6 = 17.$$

Thus,  $S'$  indeed majorizes  $S$ , and so  $\mathcal{A}(R, S)$  is nonempty. In particular, the canonical matrix  $\tilde{A}$  of  $\mathcal{A}(R, S)$  is given by

$$\tilde{A} = \begin{bmatrix} 1 & 1 & 0 & 1 & 0 & 0 \\ 1 & 0 & 1 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 1 & 0 & 0 \end{bmatrix}.$$

□

## Problem 2

**Proposition 12.** *Let  $A$  be a Latin square of order  $4m + 2$  for  $m \in \mathbb{Z}^+$ . If  $A$  contains an order  $2m + 1$  subarray that is a Latin square, then there is no Latin square perpendicular to  $A$ .*

*Proof.* Given a matrix  $M$ , define the submatrices

$$\begin{aligned} M_1 &= M[[2m + 1], [2m + 1]] \\ M_2 &= M[[2m + 1], [4m + 2] \setminus [2m + 1]] \\ M_3 &= M[[4m + 2] \setminus [2m + 1], [2m + 1]]. \end{aligned}$$

(Loosely, if we split  $M$  in half vertically and horizontally,  $M_1$  is the upper left submatrix,  $M_2$  is the upper right submatrix, and  $M_3$  is the lower left submatrix.)

Without loss of generality, we may assume (by permuting labels of entries) that the Latin subsquare is on the set  $[2m + 1]$ . Moreover, we may assume (by permuting columns) that the entries of the first row of  $A$  are the elements  $1, \dots, 4m + 2$  in increasing order. With these assumptions, it follows that  $A_1$  is a Latin square on  $[2m + 1]$ . Since  $A$  in its entirety is Latin, it must be that  $A_2$  and  $A_3$  are Latin squares on  $[4m + 2] \setminus [2m + 1]$ .

Let now  $B$  be another latin square of order  $4m + 2$  and suppose, for the purpose of contradiction, that  $A$  and  $B$  are orthogonal. Denote by  $1(M)$  the number of 1-entries in a matrix. Observe that  $1(B_1) + 1(B_2) = 2m + 1$ , since  $B_1$  and  $B_2$  together comprise the first  $2m + 1$  rows of  $B$ , which is Latin. Similarly,  $1(B_1) + 1(B_3) = 2m + 1$ , as  $B_1$  and  $B_3$  comprise the first  $2m + 1$  columns of  $B$ . We have also that  $1(B_2) + 1(B_3) = 2m + 1$ . To see this, recall that  $A_2$  and  $A_3$  are Latin squares on  $[4m + 2] \setminus [2m + 1]$ . Moreover, all occurrences in  $A$  of the elements of  $[4m + 2] \setminus [2m + 1]$  take place in  $A_2$  and  $A_3$ . Since we supposed  $A$  and  $B$  to be orthogonal, it follows that there are precisely  $2m + 1$  1-entries in  $B$ .

We now derive our contradiction. From  $1(B_1) + 1(B_2) = 2m + 1$  and  $1(B_1) + 1(B_3) = 2m + 1$ , we see that  $1(B_2) = 1(B_3)$ . This is inconsistent with that fact that  $1(B_2) + 1(B_3) = 2m + 1$ , an odd number. Therefore, we conclude that there is no Latin square orthogonal to  $A$ .  $\square$

### Problem 3

Given below are four mutually orthogonal Latin squares of order 5. They are built using the construction based on  $GF(5)$ , and so are guaranteed to be mutually orthogonal.

$$A_1 = \begin{bmatrix} 0 & 1 & 2 & 3 & 4 \\ 1 & 2 & 3 & 4 & 0 \\ 2 & 3 & 4 & 0 & 1 \\ 3 & 4 & 0 & 1 & 2 \\ 4 & 0 & 1 & 2 & 3 \end{bmatrix} \quad A_2 = \begin{bmatrix} 0 & 1 & 2 & 3 & 4 \\ 2 & 3 & 4 & 0 & 1 \\ 4 & 0 & 1 & 2 & 3 \\ 1 & 2 & 3 & 4 & 0 \\ 3 & 4 & 0 & 1 & 2 \end{bmatrix}$$

$$A_3 = \begin{bmatrix} 0 & 1 & 2 & 3 & 4 \\ 3 & 4 & 0 & 1 & 2 \\ 1 & 2 & 3 & 4 & 0 \\ 4 & 0 & 1 & 2 & 3 \\ 2 & 3 & 4 & 0 & 1 \end{bmatrix} \quad A_4 = \begin{bmatrix} 0 & 1 & 2 & 3 & 4 \\ 4 & 0 & 1 & 2 & 3 \\ 3 & 4 & 0 & 1 & 2 \\ 2 & 3 & 4 & 0 & 1 \\ 1 & 2 & 3 & 4 & 0 \end{bmatrix}$$

This system of mutually orthogonal Latin squares gives rise to the following incidence matrix for a projective plane of order 5.

0	0	0	0	0	0
0	1	1	1	1	1
0	2	2	2	2	2
0	3	3	3	3	3
0	4	4	4	4	4
1	0	1	2	3	4
1	1	2	3	4	0
1	2	3	4	0	1
1	3	4	0	1	2
1	4	0	1	2	3
2	0	2	4	1	3
2	1	3	0	2	4
2	2	4	1	3	0
2	3	0	2	4	1
2	4	1	3	0	2
3	0	3	1	4	2
3	1	4	2	0	3
3	2	0	3	1	4
3	3	1	4	2	0
3	4	2	0	3	1
4	0	4	3	2	1
4	1	0	4	3	2
4	2	1	0	4	3
4	3	2	1	0	4
4	4	3	2	1	0

## Problem 4

**Proposition 13.** *Let  $\Pi$  denote a projective plane of order  $n$ . If  $\Pi' \neq \Pi$  is a subplane of order  $m$ , then  $n \geq m^2$ .*

*Proof.* Let  $p$  be a point in  $\Pi$  but not in  $\Pi'$ . We know that  $n + 1$  lines pass through this point. Moreover, we know that at most one of these lines belongs to  $\Pi'$  (otherwise,  $p \in \Pi'$ ). Let  $\mathcal{L}$  denote this collection of  $n$  lines belonging to  $\Pi$  but not  $\Pi'$ . Each  $L \in \mathcal{L}$  contains  $n$  points other than  $p$ . Now, since  $L$  is missing from  $\Pi'$ , at most one of these points can be present in  $\Pi'$  (otherwise,  $L \in \Pi'$ ).

All told,  $\Pi'$  contains at most one point for each line of  $\mathcal{L}$  (thus at most  $n$  points contributed in this way) and  $m + 1$  points from the line through  $p$  (indeed, every line of  $\Pi'$  contains at most  $m + 1$  points). Since  $\Pi'$  contains  $m^2 + m + 1$  points in total, we have

$$m^2 + m + 1 \leq n + m + 1$$

and so  $n \geq m^2$ , as desired. □

## Problem 5

A *transversal* in an order  $n$  array is a collection of  $n$  entries with no two on a line. A transversal is *Latin* if its entries are distinct. Define the *circulant* Latin square  $\mathcal{C}_n = [a_{ij}]$  by  $a_{ij} = (j - i) \pmod{n}$  with  $i, j \in \{1, \dots, n\}$ .

**Proposition 14.** *If a transversal  $T$  in  $\mathcal{C}_n$  has  $\lambda_i$  occurrences of  $i$ , then  $\sum_{i=1}^n i\lambda_i \equiv 0 \pmod{n}$ .*

*Proof.* Any transversal can be represented as a sequence  $\{a_{i\sigma(i)} \mid i \in [n]\}$  for some permutation  $\sigma$  on  $[n]$ . Now, working modulo  $n$ , we have

$$\begin{aligned} \sum_{i=1}^n i\lambda_i &= \sum_{i=1}^n a_{i\sigma(i)} \\ &= \sum_{i=1}^n (\sigma(i) - i) \\ &= \sum_{i=1}^n \sigma(i) - \sum_{i=1}^n i \\ &= 0. \end{aligned}$$

□

**Proposition 15.** *The circulant Latin square  $\mathcal{C}_n$  has a Latin transversal if and only if  $n$  is odd.*

*Proof.* For the forward implication, we have (working modulo  $n$ )

$$\begin{aligned} 0 &= \sum_{i=1}^n i \\ &= \frac{n(n+1)}{2}. \end{aligned}$$

Suppose, for the sake of contradiction, that  $n$  is even. Let  $n = 2^m q$  for some odd  $q$ . Now,  $\frac{n(n+1)}{2} = 2^{m-1} q(n+1)$ . Since  $n+1$  is odd, it possesses no factor of 2. Thus, we conclude that  $\frac{n(n+1)}{2}$  is not congruent to 0 modulo  $n$ . Therefore,  $n$  is odd.

For the reverse implication, consider the transversal  $\{a_{i,2i} \mid i \in [n]\}$  for odd  $n$ . To see that it is Latin, observe that 2 has an inverse modulo  $n$  whenever  $n$  is odd, and so  $2i = 2j$  if and only if  $i = j$ . □

## Problem 6

**Proposition 16.** *If  $F$  is a finite field, then  $|F| = p^\alpha$  for some prime  $p$  and some exponent  $\alpha \geq 1$ .*

*Proof.* Let  $p$  be a prime divisor of  $|F|$ . By Cauchy's Theorem, there is an element  $a$  of order  $p$  in the additive group  $(F, +)$ . That is,  $pa = 0$ , where  $pa$  denotes the sum  $\sum_{i=1}^p a$ .

Let now  $b$  be any nonzero element of  $F$ . Observe that

$$\begin{aligned} pb &= pb(aa^{-1}) && (a^{-1} \text{ exists since } (F, +, \times) \text{ is a field}) \\ &= b(pa)a^{-1} && (\text{associativity and commutativity of } \times) \\ &= 0. \end{aligned}$$

Hence, the order of any nonzero element of  $(F, +)$  must divide  $p$ , and so is equal to  $p$ .

Let now  $q$  be any prime divisor of  $|F|$ . Again by Cauchy's Theorem, there is an element  $c$  of order  $q$  in the additive group  $(F, +)$ . We have shown, however, that every element of  $(F, +)$  has order  $p$ , and so conclude that  $q = p$ . Therefore,  $|F| = p^\alpha$  for some exponent  $\alpha \geq 1$ .  $\square$

**Proposition 17.** *For any prime  $p$ , the set  $\mathbb{Z}_p$  of integers modulo  $p$  forms a field of order  $p$ .*

*Proof.* We verify the field axioms directly. In what follows, let  $a, b, c \in \mathbb{Z}_p$  and let  $r(x)$  denote the residue of  $x$  modulo  $p$ . We denote addition and multiplication in  $\mathbb{Z}_p$  by  $+_p$  and  $\times_p$ , respectively.

The underlying set of  $\mathbb{Z}_p$  is  $\{0, \dots, p-1\}$ , and so has order  $p$ .

We show next the closure of addition in  $\mathbb{Z}_p$ . We know that  $a + b = mp + r(a + b)$  for some integer  $m \geq 0$ . Now,

$$\begin{aligned} a +_p b &= r(a + b) \\ &\in \mathbb{Z}_p. \end{aligned}$$

We show next the closure of multiplication in  $\mathbb{Z}_p$ . We know that  $ab = kp + r(ab)$  for some integer  $k \geq 0$ . Now,

$$\begin{aligned} a \times_p b &= r(ab) \\ &\in \mathbb{Z}_p. \end{aligned}$$

We show next the associativity of addition in  $\mathbb{Z}_p$ . We know that  $a + b = mp + r(a + b)$  and  $b + c = np + r(b + c)$  for some integers  $m, n \geq 0$ . Now,

$$\begin{aligned} (a +_p b) +_p c &= r(r(a + b) + c) \\ &= r(a + b - mp + c) \\ &= r(a + b + c). \end{aligned}$$

Similarly,

$$\begin{aligned} a +_p (b +_p c) &= r(a + r(b + c)) \\ &= r(a + b + c - np) \\ &= r(a + b + c). \end{aligned}$$

Hence, addition is associative in  $\mathbb{Z}_p$ .

The associativity of multiplication in  $\mathbb{Z}_p$  is similar. As before, we know  $ab = kp + r(ab)$  and  $bc = \ell p + r(bc)$  for some integers  $m, n \geq 0$ . Now,

$$\begin{aligned}(a \times_p b) \times_p c &= r(r(ab)c) \\ &= r((ab - kp)c) \\ &= r(abc - ckp) \\ &= r(abc).\end{aligned}$$

Similarly,

$$\begin{aligned}a \times_p (b \times_p c) &= r(ar(bc)) \\ &= r(a(bc - \ell p)) \\ &= r(abc - a\ell p) \\ &= r(abc).\end{aligned}$$

Hence, multiplication is associative in  $\mathbb{Z}_p$ .

We show next that addition and multiplication are commutative in  $\mathbb{Z}_p$ . It follows immediately from the commutativity of addition in  $\mathbb{Z}$  that

$$\begin{aligned}a +_p b &= r(a + b) \\ &= r(b + a) \\ &= b +_p a.\end{aligned}$$

Similarly, because of the commutativity of multiplication in  $\mathbb{Z}$ ,

$$\begin{aligned}a \times_p b &= r(ab) \\ &= r(ba) \\ &= b \times_p a.\end{aligned}$$

We show next that 0 is the additive identity and 1 the multiplicative identity in  $\mathbb{Z}_p$ . It follows immediately from the fact that 0 is the additive identity in  $\mathbb{Z}$  that

$$\begin{aligned}a +_p 0 &= r(a + 0) \\ &= r(a) \\ &= a.\end{aligned}$$

Similarly, since 1 is the multiplicative identity in  $\mathbb{Z}$ ,

$$\begin{aligned}a \times_p 1 &= r(a \times 1) \\ &= r(a) \\ &= a.\end{aligned}$$

We show next that, for all  $a \in \mathbb{Z}_p$ , there exists an additive inverse  $-a \in \mathbb{Z}_p$ . Observe that  $0 \leq a < p$ , and so  $0 < p - a \leq p$  (here,  $p - a$  denotes subtraction in  $\mathbb{Z}$ ). Define  $-a$  to be  $p - a$ , which is an element of  $\mathbb{Z}_p$ . It follows that

$$\begin{aligned} a +_p -a &= a +_p (p - a) \\ &= r(a + p - a) \\ &= r(a - a) \\ &= 0. \end{aligned}$$

Hence, every element of  $\mathbb{Z}_p$  has an additive inverse.

We show next that, for all  $a \in \mathbb{Z}_p$ , there exists a multiplicative inverse  $a^{-1} \in \mathbb{Z}_p$ . To establish this fact, we show that the set

$$S = \{1 \times_p a, \dots, (p - 1) \times_p a\}$$

contains  $p - 1$  distinct nonzero elements, and so one of the products equals 1.

Observe first that no element of  $S$  is zero. Suppose, to the contrary, that  $k \times_p a = 0$  for some  $k \times_p a \in S$ . Since  $p$  is prime and  $p$  divides 0,  $p$  divides one of  $k$  or  $a$ , which is impossible.

Next, we show that all elements of  $S$  are distinct. Let  $j \times_p a = k \times_p a$  for  $j \times_p a, k \times_p a \in S$ . Since  $ja = mp + r(ja)$  and  $ka = np + r(ka)$  for some integers  $m$  and  $n$ , we have

$$\begin{aligned} (j - k)a &= ja - ka \\ &= mp + r(ja) - (np + r(ka)) \\ &= mp - np \\ &= (m - n)p. \end{aligned}$$

Thus,  $p$  divides  $(j - k)a$ , and so  $p$  divides  $j - k$  (since it cannot divide  $a$ ). Since  $j - k < p$ , it follows that  $j - k = 0$ . In other words,  $j = k$ , and so the chosen elements of  $S$  are identical. Therefore,  $S$  contains  $p - 1$  distinct nonzero elements, and so a multiplicative inverse exists for  $a$ .

We show finally that multiplication distributes over addition in  $\mathbb{Z}_p$ . We know that

$$\begin{aligned} b + c &= np + r(b + c), \\ ab &= kp + r(ab), \text{ and} \\ ac &= jp + r(ac). \end{aligned}$$

It follows that

$$\begin{aligned} a \times_p (b +_p c) &= r(ar(b + c)) \\ &= r(a(b + c - np)) \\ &= r(ab + ac - anp) \\ &= r(ab + ac). \end{aligned}$$

Similarly,

$$\begin{aligned}
(a \times_p b) +_p (a \times_p c) &= r(r(ab) + r(ac)) \\
&= r(ab - kp + ac - jp) \\
&= r(ab + ac - (k + j)p) \\
&= r(ab + ac).
\end{aligned}$$

Hence, multiplication distributes over addition in  $\mathbb{Z}_p$ .

Having verified the field axioms, we conclude that the integers modulo  $p$  indeed form a field of order  $p$ .  $\square$

**Proposition 18.** *For all integers  $\alpha > 1$ , the collection  $\mathbb{Z}_{p^\alpha}$  does not form a field.*

*Proof.* Let  $q = p^\alpha$ . Consider  $p^\beta, p^\gamma \in \mathbb{Z}_q$  with  $\beta + \gamma = \alpha$ . We see that

$$\begin{aligned}
p^\beta p^\gamma &= p^{\beta+\gamma} \\
&= q,
\end{aligned}$$

and so  $p^\beta \times_q p^\gamma = 0$ .

We show now that  $p^\beta$  cannot have a multiplicative inverse. Assume first that  $\times_q$  is commutative and associative and that 0 is the multiplicative identity in  $\mathbb{Z}_q$  (if not, then  $\mathbb{Z}_q$  is certainly not a field). Now, for any element  $a \in \mathbb{Z}_q$ ,

$$\begin{aligned}
(p^\beta \times_q a) \times_q p^\gamma &= (p^\beta \times_q p^\gamma) \times_q a \\
&= 0 \times_q a \\
&= 0.
\end{aligned}$$

Were  $a$  the multiplicative inverse of  $p^\beta$ , the computation above would have yielded  $p^\gamma$ . Hence,  $a$  is not the multiplicative inverse of  $p^\beta$ . As  $a$  was arbitrary, we conclude that  $p^\beta$  has no multiplicative inverse, and so  $\mathbb{Z}_q$  is not a field.  $\square$

**Proposition 19.** *Let  $P(\omega)$  be a monic irreducible polynomial of degree  $\alpha$ . Define  $Q(\omega) \equiv R(\omega) \pmod{P(\omega)}$  for  $Q(\omega), R(\omega) \in \mathbb{Z}_p[\omega]$  provided there exists  $P_1(\omega) \in \mathbb{Z}_p[\omega]$  such that*

$$Q(\omega) = R(\omega) + P_1(\omega)P(\omega).$$

*The relation  $\equiv$  defined above is an equivalence relation on  $p^\alpha$  classes.*

*Proof.* We verify directly the axioms of equivalence relations. In what follows, let  $Q(\omega), R(\omega), S(\omega) \in \mathbb{Z}_p[\omega]$ .

We see that  $\equiv$  is reflexive, as

$$Q(\omega) = Q(\omega) + 0 \cdot P(\omega).$$

We show next that  $\equiv$  is symmetric. To that end, suppose  $Q(\omega) \equiv R(\omega)$ . That is, there exists  $P_1(\omega) \in \mathbb{Z}_p[\omega]$  such that

$$Q(\omega) = R(\omega) + P_1(\omega) \cdot P(\omega).$$

Rearranging terms, we have

$$R(\omega) = Q(\omega) + (-P_1(\omega)) \cdot P(\omega),$$

and so  $R(\omega) \equiv Q(\omega)$ .

We show finally that  $\equiv$  is transitive. To that end, suppose  $Q(\omega) \equiv R(\omega)$  and  $R(\omega) \equiv S(\omega)$ . That is, there exists  $P_1(\omega), P_2(\omega) \in \mathbb{Z}_p[\omega]$  such that

$$Q(\omega) = R(\omega) + P_1(\omega) \cdot P(\omega)$$

and

$$R(\omega) = S(\omega) + P_2(\omega) \cdot P(\omega).$$

Substituting the second equation into the first yields

$$\begin{aligned} Q(\omega) &= (S(\omega) + P_2(\omega) \cdot P(\omega)) + P_1(\omega) \cdot P(\omega) \\ &= S(\omega) + (P_1(\omega) + P_2(\omega)) \cdot P(\omega), \end{aligned}$$

and so  $Q(\omega) \equiv S(\omega)$ .

Having verified the axioms, we conclude that  $\equiv$  is indeed an equivalence relation.

Consider now a typical polynomial in  $\mathbb{Z}_p[\omega]$ . Since the maximum degree is  $\alpha - 1$ , there are  $\alpha$  coefficients if we regard missing coefficients as being 0. Since  $|\mathbb{Z}_p| = p$ , there are  $p$  choices for each coefficient, and so  $p^\alpha$  distinct polynomials in  $\mathbb{Z}_p[\omega]$ . Hence, there are at least  $p^\alpha$  equivalence classes. To see that there are no more, let

$$Q(\omega) = R(\omega) + P_1(\omega) \cdot P(\omega).$$

Recall that an appropriate choice of  $P_1(\omega)$  ensures  $\deg(R(\omega)) < \alpha$ . That is,  $Q(\omega)$  is always equivalent to one of the aforementioned  $p^\alpha$  polynomials. Therefore, there are exactly  $p^\alpha$  equivalence classes.  $\square$

**Proposition 20.** *The collection  $S$  of equivalence classes of  $\mathbb{Z}_p[\omega]$  together with  $+$  and  $\times$  defined via*

$$[Q_1(\omega)] + [Q_2(\omega)] = [Q_1(\omega) + Q_2(\omega)]$$

and

$$[Q_1(\omega)] \times [Q_2(\omega)] = [Q_1(\omega) \times Q_2(\omega)]$$

is a field.

*Proof.* We verify the field axioms directly. In what follows, let  $Q(\omega), R(\omega), S(\omega) \in \mathbb{Z}_p[\omega]$  and let  $r(X(\omega))$  denote the residue of  $X(\omega)$  modulo  $P(\omega)$ .

We show first the closure of addition in  $\mathbb{Z}_p[\omega]$ . We know that  $Q(\omega) + R(\omega) = P_1(\omega)P(\omega) + r(Q(\omega) + R(\omega))$  for some polynomial  $P_1(\omega) \in \mathbb{Z}_p[\omega]$ . Now,

$$\begin{aligned} [Q(\omega)] + [R(\omega)] &= [Q(\omega) + R(\omega)] \\ &= r(Q(\omega) + R(\omega)) \\ &\in \mathbb{Z}_p[\omega]. \end{aligned}$$

We show next the closure of multiplication in  $\mathbb{Z}_p[\omega]$ . We know that  $P(\omega)Q(\omega) = P_1(\omega)P(\omega) + r(P(\omega)Q(\omega))$  for some polynomial  $P_1(\omega) \in \mathbb{Z}_p[\omega]$ . Now,

$$\begin{aligned} [Q(\omega)] \times [R(\omega)] &= [Q(\omega)R(\omega)] \\ &= r(Q(\omega)R(\omega)) \\ &\in \mathbb{Z}_p[\omega]. \end{aligned}$$

We show next the associativity of addition in  $\mathbb{Z}_p[\omega]$ . We know that  $Q(\omega) + R(\omega) = P_1(\omega)P(\omega) + r(Q(\omega) + R(\omega))$  and  $R(\omega) + S(\omega) = P_2(\omega)P(\omega) + r(R(\omega) + S(\omega))$  for some polynomials  $P_1(\omega), P_2(\omega) \in \mathbb{Z}_p[\omega]$ . Now,

$$\begin{aligned} ([Q(\omega)] + [R(\omega)]) + [S(\omega)] &= r(r(Q(\omega) + R(\omega)) + S(\omega)) \\ &= r(Q(\omega) + R(\omega) - P_1(\omega)P(\omega) + S(\omega)) \\ &= r(Q(\omega) + R(\omega) + S(\omega)). \end{aligned}$$

Similarly,

$$\begin{aligned} [Q(\omega)] + ([R(\omega)] + [S(\omega)]) &= r(Q(\omega) + r(R(\omega) + S(\omega))) \\ &= r(Q(\omega) + R(\omega) + S(\omega) - P_2(\omega)P(\omega)) \\ &= r(Q(\omega) + R(\omega) + S(\omega)). \end{aligned}$$

Hence, addition is associative in  $\mathbb{Z}_p[\omega]$ .

The associativity of multiplication in  $\mathbb{Z}_p[\omega]$  is similar. As before, we know  $Q(\omega)R(\omega) = P_3(\omega)P(\omega) + r(Q(\omega)R(\omega))$  and  $R(\omega)S(\omega) = P_4(\omega)P(\omega) + r(R(\omega)S(\omega))$  for some polynomials  $P_3(\omega), P_4(\omega) \in \mathbb{Z}_p[\omega]$ . Now,

$$\begin{aligned} ([Q(\omega)] \times [R(\omega)]) \times [S(\omega)] &= r(r(Q(\omega)R(\omega))S(\omega)) \\ &= r((Q(\omega)R(\omega) - P_3(\omega)P(\omega))S(\omega)) \\ &= r(Q(\omega)R(\omega)S(\omega) - S(\omega)P_3(\omega)P(\omega)) \\ &= r(Q(\omega)R(\omega)S(\omega)). \end{aligned}$$

Similarly,

$$\begin{aligned} [Q(\omega)] \times ([R(\omega)] \times [S(\omega)]) &= r(Q(\omega)r(R(\omega)S(\omega))) \\ &= r(Q(\omega)(R(\omega)S(\omega) - P_4(\omega)P(\omega))) \\ &= r(Q(\omega)R(\omega)S(\omega) - Q(\omega)P_4(\omega)P(\omega)) \\ &= r(Q(\omega)R(\omega)S(\omega)). \end{aligned}$$

Hence, multiplication is associative in  $\mathbb{Z}_p[\omega]$ .

We show next that addition and multiplication are commutative in  $\mathbb{Z}_p[\omega]$ . It follows immediately from the commutativity of addition in  $\mathbb{Z}$  that

$$\begin{aligned} [Q(\omega)] + [R(\omega)] &= [Q(\omega) + R(\omega)] \\ &= [R(\omega) + Q(\omega)] \\ &= [R(\omega)] + [Q(\omega)]. \end{aligned}$$

Similarly, because of the commutativity of multiplication in  $\mathbb{Z}$ ,

$$\begin{aligned} [Q(\omega)] \times [R(\omega)] &= [Q(\omega)R(\omega)] \\ &= [R(\omega)Q(\omega)] \\ &= [R(\omega)] \times [Q(\omega)]. \end{aligned}$$

We show next that  $[0]$  is the additive identity and  $[1]$  the multiplicative identity in  $\mathbb{Z}_p[\omega]$ . It follows immediately from the fact that  $0$  is the additive identity in  $\mathbb{Z}$  that

$$\begin{aligned} [Q(\omega)] + [0] &= [Q(\omega) + 0] \\ &= [Q(\omega)]. \end{aligned}$$

Similarly, since  $1$  is the multiplicative identity in  $\mathbb{Z}$ ,

$$\begin{aligned} [Q(\omega)] \times [1] &= [Q(\omega) \cdot 1] \\ &= [Q(\omega)]. \end{aligned}$$

We show next that, for all  $Q(\omega) \in \mathbb{Z}_p[\omega]$ , there exists an additive inverse  $-Q(\omega) \in \mathbb{Z}_p[\omega]$ . Let  $Q(\omega) = a_0 + a_1\omega + \cdots + a_{\alpha-1}\omega^{\alpha-1}$  and  $-Q(\omega) = (-a_0) + (-a_1)\omega + \cdots + (-a_{\alpha-1})\omega^{\alpha-1}$ . It follows that

$$\begin{aligned} [Q(\omega)] + [-Q(\omega)] &= [Q(\omega) + (-Q(\omega))] \\ &= [(a_0 - a_0) + (a_1 - a_1)\omega + \cdots + (a_{\alpha-1} - a_{\alpha-1})\omega^{\alpha-1}] \\ &= [0]. \end{aligned}$$

Hence, every element of  $\mathbb{Z}_p[\omega]$  has an additive inverse.

We show next that, for all  $Q(\omega) \in \mathbb{Z}_p[\omega]$ , there exists a multiplicative inverse  $Q^{-1}[\omega] \in \mathbb{Z}_p[\omega]$ . To establish this fact, we show that the set

$$S = \{S(\omega) \times Q(\omega) \mid S(\omega) \in \mathbb{Z}_p[\omega]\}$$

contains  $|\mathbb{Z}_p[\omega]|$  distinct elements, and so one of the products equals  $1$ .

Observe first that the only zero element of  $S$  is  $0 \times_p Q(\omega)$ . Suppose, to the contrary, that  $R(\omega) \times_p Q(\omega) = 0$  for some  $R(\omega) \in \mathbb{Z}_p[\omega]$ . Since  $P(\omega)$  is irreducible and  $P(\omega)$  divides  $0$ ,  $P(\omega)$  divides  $R(\omega)$  (since it cannot divide  $Q(\omega)$ ). Thus,  $R(\omega) = 0$ .

Next, we show that all elements of  $S$  are distinct. Let  $R(\omega) \times Q(\omega) = S(\omega) \times Q(\omega)$  for  $R(\omega), S(\omega) \in \mathbb{Z}_p[\omega]$ . Since  $R(\omega)Q(\omega) = R'(\omega)P(\omega) + r(R(\omega)Q(\omega))$  and  $S(\omega)Q(\omega) = S'(\omega)P(\omega) + r(S(\omega)Q(\omega))$  for some  $R'(\omega), S'(\omega) \in \mathbb{Z}_p[\omega]$ , we have

$$\begin{aligned} (R(\omega) - S(\omega))Q(\omega) &= R(\omega)Q(\omega) - S(\omega)Q(\omega) \\ &= R'(\omega)P(\omega) + r(R(\omega)Q(\omega)) - (S'(\omega)P(\omega) + r(S(\omega)Q(\omega))) \\ &= R'(\omega)P(\omega) - S'(\omega)P(\omega) \\ &= (R'(\omega) - S'(\omega))P(\omega). \end{aligned}$$

Thus,  $P(\omega)$  divides  $(R(\omega) - S(\omega))Q(\omega)$ , and so  $P(\omega)$  divides  $R(\omega) - S(\omega)$  (since it cannot divide  $Q(\omega)$ ). Since  $R(\omega) - S(\omega) \in \mathbb{Z}_p[\omega]$ , it follows that  $R(\omega) - S(\omega) = 0$ . In other words,  $R(\omega) = S(\omega)$ , and so the chosen elements of  $S$  are identical. Therefore,  $S$  contains  $|\mathbb{Z}_p[\omega]|$  distinct elements, and so a multiplicative inverse exists for  $Q(\omega)$ .

We show finally that multiplication distributes over addition in  $\mathbb{Z}_p[\omega]$ . We know that

$$\begin{aligned} R(\omega) + S(\omega) &= P_2(\omega)P(\omega) + r(Q(\omega) + R(\omega)), \\ Q(\omega)R(\omega) &= P_3(\omega)P(\omega) + r(Q(\omega)R(\omega)), \text{ and} \\ Q(\omega)S(\omega) &= P_5(\omega)P(\omega) + r(Q(\omega)S(\omega)). \end{aligned}$$

It follows that

$$\begin{aligned} [Q(\omega)] \times ([R(\omega)] + [S(\omega)]) &= r(Q(\omega)r(R(\omega) + S(\omega))) \\ &= r(Q(\omega)(R(\omega) + S(\omega) - P_2(\omega)P(\omega))) \\ &= r(Q(\omega)R(\omega) + Q(\omega)S(\omega) - Q(\omega)P_2(\omega)P(\omega)) \\ &= r(Q(\omega)R(\omega) + Q(\omega)S(\omega)). \end{aligned}$$

Similarly,

$$\begin{aligned} ([Q(\omega)] \times [R(\omega)]) + ([Q(\omega)] \times [S(\omega)]) &= r(r(Q(\omega)R(\omega)) + r(Q(\omega)S(\omega))) \\ &= r(Q(\omega)R(\omega) - P_3(\omega)P(\omega) + Q(\omega)S(\omega) - P_5(\omega)P(\omega)) \\ &= r(Q(\omega)R(\omega) + Q(\omega)S(\omega) - (P_3(\omega) + P_5(\omega))P(\omega)) \\ &= r(Q(\omega)R(\omega) + Q(\omega)S(\omega)). \end{aligned}$$

Hence, multiplication distributes over addition in  $\mathbb{Z}_p[\omega]$ .

Having verified the field axioms, we conclude that the set  $S$  of equivalence classes of polynomials in  $\mathbb{Z}_p[\omega]$  together with addition and multiplication as defined is indeed a field.  $\square$

We give below the addition and multiplication tables for  $GF(8)$ .

+	0	1	$\omega$	$\omega + 1$	$\omega^2$	$\omega^2 + 1$	$\omega^2 + \omega$	$\omega^2 + \omega + 1$
0	0	1	$\omega$	$\omega + 1$	$\omega^2$	$\omega^2 + 1$	$\omega^2 + \omega$	$\omega^2 + \omega + 1$
1	1	0	$\omega + 1$	$\omega$	$\omega^2 + 1$	$\omega^2$	$\omega^2 + \omega + 1$	$\omega^2 + \omega$
$\omega$	$\omega$	$\omega + 1$	0	1	$\omega^2 + \omega$	$\omega^2 + \omega + 1$	$\omega^2$	$\omega^2 + 1$
$\omega + 1$	$\omega + 1$	$\omega$	1	0	$\omega^2 + \omega + 1$	$\omega^2 + \omega$	$\omega^2 + 1$	$\omega + 1$
$\omega^2$	$\omega^2$	$\omega^2 + 1$	$\omega^2 + \omega$	$\omega^2 + \omega + 1$	0	1	$\omega$	$\omega + 1$
$\omega^2 + 1$	$\omega^2 + 1$	$\omega^2$	$\omega^2 + \omega + 1$	$\omega^2 + \omega$	1	0	$\omega + 1$	$\omega$
$\omega^2 + \omega$	$\omega^2 + \omega$	$\omega^2 + \omega + 1$	$\omega^2$	$\omega^2 + 1$	$\omega$	$\omega + 1$	0	1
$\omega^2 + \omega + 1$	$\omega^2 + \omega + 1$	$\omega^2 + \omega$	$\omega^2 + 1$	$\omega^2$	$\omega + 1$	$\omega$	1	0

$\times$	0	1	$\omega$	$\omega + 1$	$\omega^2$	$\omega^2 + 1$	$\omega^2 + \omega$	$\omega^2 + \omega + 1$
0	0	0	0	0	0	0	0	0
1	0	1	$\omega$	$\omega + 1$	$\omega^2$	$\omega^2 + 1$	$\omega^2 + \omega$	$\omega^2 + \omega + 1$
$\omega$	0	$\omega$	$\omega^2$	$\omega^2 + \omega$	$\omega + 1$	1	$\omega^2 + \omega + 1$	$\omega^2 + 1$
$\omega + 1$	0	$\omega + 1$	$\omega^2 + \omega$	$\omega^2 + 1$	$\omega^2 + \omega + 1$	$\omega^2$	1	$\omega$
$\omega^2$	0	$\omega^2$	$\omega + 1$	$\omega^2 + \omega + 1$	$\omega^2 + \omega$	$\omega$	$\omega^2 + 1$	1
$\omega^2 + 1$	0	$\omega^2 + 1$	1	$\omega^2$	$\omega$	$\omega^2 + \omega + 1$	$\omega + 1$	$\omega^2 + \omega$
$\omega^2 + \omega$	0	$\omega^2 + \omega$	$\omega^2 + \omega + 1$	1	$\omega^2 + 1$	$\omega + 1$	$\omega$	$\omega^2$
$\omega^2 + \omega + 1$	0	$\omega^2 + \omega + 1$	$\omega^2 + 1$	$\omega$	1	$\omega^2 + \omega$	$\omega^2$	$\omega + 1$

We give below all reducible polynomials of degree 3 along with their factorizations.

$$\begin{aligned}
\omega^3 &= \omega\omega\omega \\
\omega^3 + \omega^2 &= \omega\omega(\omega + 1) \\
\omega^3 + \omega &= \omega(\omega + 1)(\omega + 1) \\
\omega^3 + \omega^2 + \omega + 1 &= (\omega + 1)(\omega + 1)(\omega + 1) \\
\omega^3 + \omega^2 + \omega &= (\omega^2 + \omega + 1)\omega \\
\omega^3 + 1 &= (\omega^2 + \omega + 1)(\omega + 1)
\end{aligned}$$

The remaining polynomials

$$\begin{aligned}
&\omega^3 + \omega + 1, \text{ and} \\
&\omega^3 + \omega^2 + 1
\end{aligned}$$

must therefore be irreducible.

We give below all reducible polynomials of degree 4 along with their factorizations.

$$\begin{aligned}
\omega^4 &= \omega\omega\omega\omega \\
\omega^4 + \omega^3 &= \omega\omega\omega(\omega + 1) \\
\omega^4 + \omega^2 &= \omega\omega(\omega + 1)(\omega + 1) \\
\omega^4 + \omega^3 + \omega^2 + \omega &= \omega(\omega + 1)(\omega + 1)(\omega + 1) \\
\omega^4 + 1 &= (\omega + 1)(\omega + 1)(\omega + 1)(\omega + 1) \\
\omega^4 + \omega^3 + \omega^2 &= \omega\omega(\omega^2 + \omega + 1) \\
\omega^4 + \omega &= \omega(\omega + 1)(\omega^2 + \omega + 1) \\
\omega^4 + \omega^3 + \omega + 1 &= (\omega + 1)(\omega + 1)(\omega^2 + \omega + 1) \\
\omega^4 + \omega^2 + \omega &= \omega(\omega^3 + \omega + 1) \\
\omega^4 + \omega^3 + \omega^2 + 1 &= (\omega + 1)(\omega^3 + \omega + 1) \\
\omega^4 + \omega^3 + \omega &= \omega(\omega^3 + \omega^2 + 1) \\
\omega^4 + \omega^2 + \omega + 1 &= (\omega + 1)(\omega^3 + \omega^2 + 1) \\
\omega^4 + \omega^2 + 1 &= (\omega^2 + \omega + 1)(\omega^2 + \omega + 1)
\end{aligned}$$

The remaining polynomials

$$\begin{aligned}
&\omega^4 + \omega + 1 \\
&\omega^4 + \omega^3 + 1 \\
&\omega^4 + \omega^3 + \omega^2 + \omega + 1
\end{aligned}$$

must therefore be irreducible.

## Problem 7

**Proposition 21.** *Let  $p$  be a fixed prime. The number  $m_\alpha$  of monic irreducible polynomials of degree  $\alpha$  over  $\mathbb{Z}_p$  satisfies the inequality*

$$m_\alpha \geq \frac{1}{\alpha} \left( p^\alpha - \sum_{d=1}^{\lfloor \frac{\alpha}{2} \rfloor} p^d \right),$$

which is greater than zero for all primes  $p$  and all  $\alpha \geq 1$ .

*Proof.* (I see that  $p^d$  is the total number of monic polynomials of degree  $d$  over  $\mathbb{Z}_p$ , so the righthand side of

$$\sum_{d=0}^{\infty} p^d x^d = \prod_{\alpha=1}^{\infty} (1 + x^\alpha + x^{2\alpha} + \dots)^{m_\alpha}$$

should admit some enumeration of factorizations of the polynomials. I am unable to work out the details of this count, however.)

Using the geometric series, we obtain

$$\begin{aligned} \sum_{d=0}^{\infty} p^d x^d &= \sum_{d=0}^{\infty} (px)^d \\ &= \frac{1}{1 - px} \end{aligned}$$

and

$$\begin{aligned} 1 + x^\alpha + x^{2\alpha} + \dots &= \sum_{j=0}^{\infty} (x^\alpha)^j \\ &= \frac{1}{1 - x^\alpha}. \end{aligned}$$

Thus,

$$\frac{1}{1 - px} = \prod_{\alpha=1}^{\infty} \left( \frac{1}{1 - x^\alpha} \right)^{m_\alpha}.$$

Taking the formal logarithm of both sides yields

$$-\ln(1 - px) = \sum_{\alpha=1}^{\infty} -m_\alpha \ln(1 - x^\alpha).$$

Now, using the Taylor series for the natural logarithm,

$$\begin{aligned} -\ln(1 - px) &= -\sum_{j=1}^{\infty} \frac{(-1)^{j+1}}{j} (-px)^j \\ &= \sum_{j=1}^{\infty} \frac{p^j}{j} x^j \end{aligned}$$

and

$$\begin{aligned} -\ln(1-x^\alpha) &= -\sum_{j=1}^{\infty} \frac{(-1)^{j+1}}{j} (-x^\alpha)^j \\ &= \sum_{j=1}^{\infty} \frac{x^{j\alpha}}{j}. \end{aligned}$$

Thus,

$$\begin{aligned} \sum_{j=1}^{\infty} \frac{p^j}{j} x^j &= \sum_{\alpha=1}^{\infty} m_\alpha \sum_{j=1}^{\infty} \frac{x^{j\alpha}}{j} \\ &= \sum_{k=1}^{\infty} \sum_{\alpha|k} m_\alpha \frac{\alpha}{k} x^k, \end{aligned}$$

where  $k = j\alpha$ . Matching coefficients, we see that

$$\frac{p^k}{k} = \sum_{\alpha|k} m_\alpha \frac{\alpha}{k},$$

for each  $k$ , and so

$$p^k = \sum_{\alpha|k} m_\alpha \alpha.$$

Applying Möbius inversion, we obtain

$$\begin{aligned} m_\alpha &= \frac{1}{\alpha} \sum_{d|\alpha} \mu\left(\frac{\alpha}{d}\right) p^d \\ &= \frac{1}{\alpha} \left( p^\alpha + \sum_{\substack{d=1 \\ d|\alpha}}^{\lfloor \frac{\alpha}{2} \rfloor} \mu\left(\frac{\alpha}{d}\right) p^d \right) \\ &\geq \frac{1}{\alpha} \left( p^\alpha - \sum_{d=1}^{\lfloor \frac{\alpha}{2} \rfloor} p^d \right). \end{aligned}$$

We show by induction on  $\alpha$  that the expression above is greater than zero for all primes  $p$  by showing, equivalently,

$$p^\alpha > \sum_{d=1}^{\lfloor \frac{\alpha}{2} \rfloor} p^d.$$

For the base case  $\alpha = 1$ , we have  $p > 1$ . Assume now the inequality holds

for a given  $\alpha$ . It follows that

$$\begin{aligned} p^{\alpha+1} &= pp^\alpha \\ &> p \sum_{d=1}^{\lfloor \frac{\alpha}{2} \rfloor} p^d \\ &= \sum_{d=1}^{\lfloor \frac{\alpha}{2} \rfloor} p^{d+1} \\ &= \sum_{d=2}^{\lfloor \frac{\alpha+1}{2} \rfloor} p^d \\ &> \sum_{d=1}^{\lfloor \frac{\alpha+1}{2} \rfloor} p^d. \end{aligned}$$

Therefore, there are monic irreducible polynomials in  $GF(p^\alpha)$  for all primes  $p$  and  $\alpha \geq 1$ .  $\square$