

# Math 731 Homework

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## 1 Problem 15B1

**Definition 1.1.** A space  $X$  is said to be completely normal if every subspace of  $X$  is normal.

**Proposition 1.2.**  $X$  is completely normal if and only if, whenever  $A$  and  $B$  are subsets of  $X$  with  $A \cap \text{Cl}_X(B) = \text{Cl}_X(A) \cap B = \emptyset$ , then there are disjoint open sets  $U \supset A$  and  $V \supset B$ .

*Proof.* ( $\Rightarrow$ ) Let  $A$  and  $B$  be given with  $A \cap \text{Cl}_X(B) = \text{Cl}_X(A) \cap B = \emptyset$ . Denote by  $Y$  the subspace  $X - (\text{Cl}_X(A) \cap \text{Cl}_X(B))$ . Now, both  $A$  and  $B$  are contained in  $Y$ . To see this, observe that

$$\begin{aligned} A \cap (\text{Cl}_X(A) \cap \text{Cl}_X(B)) &= (A \cap \text{Cl}_X(B)) \cap \text{Cl}_X(A) \\ &= \emptyset \cap \text{Cl}_X(A) \\ &= \emptyset. \end{aligned}$$

Similarly,

$$\begin{aligned} B \cap (\text{Cl}_X(A) \cap \text{Cl}_X(B)) &= (\text{Cl}_X(A) \cap B) \cap \text{Cl}_X(B) \\ &= \emptyset \cap \text{Cl}_X(B) \\ &= \emptyset. \end{aligned}$$

Next, we claim that  $\text{Cl}_Y(A)$  and  $\text{Cl}_Y(B)$  are disjoint, closed sets in  $Y$ . For disjointness, observe that

$$\begin{aligned} \text{Cl}_Y(A) \cap \text{Cl}_Y(B) \cap Y &\subset \text{Cl}_X(A) \cap \text{Cl}_X(B) \cap Y \\ &= \emptyset. \end{aligned}$$

For closedness, note that the closure of a set in a topological space is always closed in that space.

By the complete normality of  $X$ , we have that  $Y$  is normal. Hence, there exist sets  $U$  and  $V$  that are open in  $Y$  (and so open in  $X$ ) with  $U \supset \text{Cl}_Y(A)$  and  $V \supset \text{Cl}_Y(B)$ . As  $A$  and  $B$  are both contained in  $Y$ , we further have that  $\text{Cl}_Y(A) \supset A$  and  $\text{Cl}_Y(B) \supset B$ . Therefore,  $U \supset A$  and  $V \supset B$ , as desired.

( $\Leftarrow$ ) Let  $Y$  be a subspace of  $X$  and let  $A$  and  $B$  be disjoint closed subsets of  $Y$ . Observe that

$$\begin{aligned} A \cap \text{Cl}_X(B) &= A \cap B \\ &= \emptyset, \end{aligned}$$

and similarly

$$\begin{aligned} \text{Cl}_X(A) \cap B &= A \cap B \\ &= \emptyset. \end{aligned}$$

By hypothesis, there are disjoint open subsets  $U$  and  $V$  of  $X$  with  $U \supset A$  and  $V \supset B$ . It follows that the sets  $U \cap Y$  and  $V \cap Y$  are disjoint open subsets of  $Y$  with  $(U \cap Y) \supset A$  and  $(V \cap Y) \supset B$ . Hence,  $Y$  is normal, and so  $X$  is completely normal.  $\square$

## 2 Problem 15B3

**Lemma 2.1.** *If  $M$  is metrizable and  $N \subset M$ , then the subspace  $N$  is metrizable with the topology generated by the restriction of any metric which generates the topology on  $M$ .*

*Proof.* Let  $\tau$  be the topology on  $M$  generated by a metric  $\rho$ . Let  $\sigma$  be the relative topology on  $N$  and let  $\rho_N$  be the restriction of  $\rho$  to  $N$ . We show that  $\sigma$  is generated by  $\rho_N$ .

Let  $O \in \sigma$ . It must be that  $O = N \cap G$  for some  $G \in \tau$ . Since  $M$  is generated by  $\rho$ , we know that  $G = \bigcup_{x \in G} B_\rho(x, \epsilon_x)$ , where  $\epsilon_x > 0$  may depend

on  $x$ . Now,

$$\begin{aligned}
O &= N \cap G \\
&= N \cap \bigcup_{x \in G} B_{\rho}(x, \epsilon_x) \\
&= \bigcup_{x \in G} N \cap B_{\rho}(x, \epsilon_x) \\
&= \bigcup_{x \in N \cap G} B_{\rho_N}(x, \epsilon_x).
\end{aligned}$$

Hence,  $O$  is the union of open balls with respect to the metric  $\rho_N$ . Therefore,  $\sigma$  is generated by the  $\rho_N$ , as desired.  $\square$

**Proposition 2.2.** *Every metric space is completely normal.*

*Proof.* By the lemma, any subspace of a metric space is itself a metric space. As every metric space is  $T_4$  (hence, normal), it follows that every subspace of a metric space is normal. That is, every metric space is completely normal.  $\square$

### 3 Problem 16B2

**Proposition 3.1.** *Any base for the open sets in a second countable space has a countable subfamily that is a base.*

*Proof.* Let  $X$  be a second countable space,  $\mathcal{B} = \{B_{\alpha} \mid \alpha \in \Gamma\}$  be any base for  $X$ , and  $\mathcal{C} = \{C_i \mid i \in \mathbb{N}\}$  be the countable base guaranteed by the second countability of  $X$ . Our aim is to show that, for each  $i \in \mathbb{N}$ ,  $C_i$  can be represented as the union of countably-many members of  $\mathcal{B}$  (call this countable subcollection  $\mathcal{B}_{C_i}$ ). Since the union of countably-many sets each having countably-many members is again countable, the set  $\{A \mid A \in \mathcal{B}_{C_i} \text{ for some } i\}$  will be a countable subfamily of  $\mathcal{B}$  that is a base for  $X$ .

To finish the proof, let  $C_k \in \mathcal{C}$ . Since  $\mathcal{B}$  is a base for  $X$ , we can write  $C_k = \bigcup_{i \in I} B_i$  for some subset  $I$  of the indexing set  $\Gamma$ . Now, for each  $x \in C_k$ , pick a set  $B_{i_x} \in \mathcal{B}$  with  $x \in B_{i_x}$  and  $i_x \in I$ . Since  $\mathcal{C}$  is also a base for  $X$ , we can find some  $C_{i_x}$  with  $x \in C_{i_x} \subset B_{i_x}$ . It follows that  $\{B_{i_x} \mid x \in C_k\}$  is a countable set (we chose one element of  $\mathcal{B}$  for each element of the countable set  $\mathcal{C}$ ) whose union is  $C_k$  (by construction, every element of  $C_k$  is present in the union and every  $B_{i_x}$  is contained in  $C_k$ ).  $\square$

## 4 Problem 16B3

**Proposition 4.1.** *Any increasing chain of real numbers that is well ordered by the usual order must be countable.*

*Proof.* Let  $A$  be a set of real numbers that is well-ordered by the usual order. We claim that, for each  $a \in A$ , there is  $n_a \in \mathbb{N}$  such that  $(a, a + \frac{1}{n_a}] \cap A = \emptyset$ . Observe that the truth of this claim implies the countability of  $A$ , as each interval will contain a distinct rational number. Suppose now, for the purpose of contradiction, that the claim does not hold. That is, there exists some  $b \in A$  such that, for all  $n \in \mathbb{N}$ ,  $(b, b + \frac{1}{n}] \cap A \neq \emptyset$ . It follows that the set  $A \setminus \{c \in A \mid c \leq b\}$  has no least element, which is a contradiction with the fact that  $A$  is well-ordered, thus completing the proof.  $\square$

## 5 The One-Point Compactification: Construction

The procedure used to obtain the one-point compactification  $X^*$  of a locally compact, non-compact Hausdorff space  $X$  can be applied to any space  $Y$ . That is,  $Y^* = Y \cup \{p\}$  with neighborhoods  $y \in Y$  unchanged in  $Y^*$  while neighborhoods of  $p$  have the form  $\{p\} \cup (Y - L)$ , where  $L$  is a subset of  $Y$  with compact closure.  $Y^*$  is called the *Alexandroff extension* of  $Y$ .

## 6 Problem 19A1

**Proposition 6.1.** *The assignment of neighborhoods in  $Y^*$  described above is valid.*

*Proof.* Recall that one can define a topology on a set  $Y$  by specifying, for each  $x \in Y$ , a set  $\mathcal{U}_x$  (called the *neighborhood system at  $x$* ) satisfying

1. If  $U \in \mathcal{U}_x$ , then  $x \in U$ .
2. If  $U, V \in \mathcal{U}_x$ , then  $U \cap V \in \mathcal{U}_x$ .
3. If  $U \in \mathcal{U}_x$ , then there is a set  $V \in \mathcal{U}_x$  such that  $U \in \mathcal{U}_y$  for each  $y \in V$ .
4. If  $U \in \mathcal{U}_x$  and  $U \subset V$ , then  $V \in \mathcal{U}_x$ .

Using this approach, we call a set open whenever it contains a neighborhood of each of its points.

Observe that, since  $Y$  is a topological space, there already exists a neighborhood system satisfying these requirements for each  $x \in Y$ . Let  $\mathcal{U}_p$  contain all sets of the form  $\{p\} \cup (Y - L)$ , where  $L$  is a subset of  $Y$  with compact closure. We proceed by verifying that  $\mathcal{U}_p$  satisfies the above requirements.

**Claim 6.2.** *If  $U \in \mathcal{U}_p$ , then  $p \in U$ .*

*Proof.* By definition,  $U = \{p\} \cup (Y - L)$  (some  $L$ ). Hence,  $p \in U$ .  $\square$

**Claim 6.3.** *If  $U, V \in \mathcal{U}_p$ , then  $U \cap V \in \mathcal{U}_p$ .*

*Proof.* Let  $U = \{p\} \cup (Y - L)$  and  $V = \{p\} \cup (Y - K)$ , where  $L$  and  $K$  are subsets of  $Y$  with compact closure. It follows that

$$\begin{aligned} U \cap V &= [\{p\} \cup (Y - L)] \cap [\{p\} \cup (Y - K)] \\ &= \{p\} \cup (Y - (L \cup K)). \end{aligned}$$

Now,  $\text{Cl}_Y(L \cup K) = \text{Cl}_Y(L) \cup \text{Cl}_Y(K)$ , each of which is compact by assumption. As the union of two compact spaces is again compact, we conclude that  $L \cup K$  is indeed a subset of  $Y$  with compact closure. Hence,  $U \cap V \in \mathcal{U}_p$ .  $\square$

**Claim 6.4.** *If  $U \in \mathcal{U}_p$ , then there is a set  $V \in \mathcal{U}_p$  such that  $U \in \mathcal{U}_y$  for each  $y \in V$ .*

*Proof.* Let  $U \in \mathcal{U}_p$  and choose any open  $V \in \mathcal{U}_p$  with  $V \subset U$ . Since  $V$  is open, there exists, for all  $y \in V$ , an open subset  $G$  of  $V$  with  $y \in G$  and  $p \notin G$ . Hence,  $G \in \mathcal{U}_y$ . Since  $y \in Y$ ,  $\mathcal{U}_y$  satisfies the property that any superset of  $G$  is also contained in  $\mathcal{U}_y$ . In particular,  $U \in \mathcal{U}_y$ , as desired.  $\square$

**Claim 6.5.** *If  $U \in \mathcal{U}_p$  and  $U \subset V$ , then  $V \in \mathcal{U}_p$ .*

*Proof.* Let  $U = \{p\} \cup (Y - L)$  for some subset  $L$  of  $Y$  with compact closure. It follows that  $V = \{p\} \cup (Y - K)$  with  $K \subset L$ , which in turn gives that  $\text{Cl}_Y(K) \subset \text{Cl}_Y(L)$ . As a closed subset of compact space is compact, we have that  $K$  has compact closure. Hence,  $V \in \mathcal{U}_p$ .  $\square$

Therefore, the neighborhood systems as defined indeed give a topology on  $Y^*$ . We conclude by showing that its relative topology on  $Y$  is the original topology. To that end, let  $\sigma = \{G \mid G \text{ open in } Y\}$  and  $\tau = \{G \cap Y \mid G \text{ open in } Y^*\}$ . Evidently,  $\sigma \subset \tau$ . We also have that, for any open  $G$  in  $Y^*$ ,

$$\begin{aligned} G \cap Y &= [\{p\} \cup (Y - L)] \cap Y \quad (\text{some } L \text{ with compact closure in } Y) \\ &= Y - L. \end{aligned}$$

(Do we here require the stronger notion that  $L$  be compact rather than merely having compact closure? For example,  $(0, 1)$  has compact closure in  $\mathbb{R}$ , yet  $\mathbb{R} - (0, 1)$  is not open.)

Pending the resolution of the above parenthetical remark, we will have shown that  $\sigma = \tau$ , and so the relative topology on  $Y$  is precisely the original topology.  $\square$

## 7 Problem 19A4

**Proposition 7.1.**  *$Y^*$  is Hausdorff if and only if  $Y$  is locally compact and Hausdorff.*

*Proof.* ( $\Rightarrow$ ) Let  $x, y \in Y \subset Y^*$ . Since  $Y^*$  is Hausdorff, we can find disjoint open neighborhoods  $U$  and  $V$  in  $Y^*$  with  $x \in U$  and  $y \in V$ . If neither  $U$  nor  $V$  contains  $p$ , then  $U$  and  $V$  suffice to show that  $Y$  is Hausdorff. Otherwise, let it be that  $U = \{p\} \cup (Y - L)$  for some  $L$  with compact closure in  $Y$  (it cannot be that both  $U$  and  $V$  are of this form, as this would violate disjointness). Define the set  $U' = Y - Cl_Y(L)$ . We have that  $x \in U'$ ,  $y \in V$  with  $U'$  and  $V$  disjoint and open.

To see that  $Y$  is locally compact, let  $x \in Y$  be given. Since  $Y^*$  is Hausdorff, there is an open set  $U$  of  $Y^*$  such that  $x \notin U$ . Now,  $U = \{p\} \cup (Y - L)$  for some subset  $L$  of  $Y$  with compact closure. Hence,  $x \in L \subset Cl_Y(L)$ , which is compact. Since  $Y$  is Hausdorff and every point of  $Y$  has a compact neighborhood, it follows that  $Y$  is locally compact.

( $\Leftarrow$ ) Let  $x, y \in Y^*$ . If neither  $x$  nor  $y$  is  $p$ , then the fact that  $Y$  is Hausdorff implies that  $x$  and  $y$  can be put into disjoint open neighborhoods. Suppose now that  $y = p$ . Choose, by the local compactness of  $Y$ , some compact neighborhood  $V \subset Y$  of  $x$ . It follows that  $\text{Int}_Y(V)$  is an open set containing  $x$ . We also have that  $\text{Int}_Y(Y^* - V) = \text{Int}_Y(\{p\} \cup (Y - V))$ , which is an open neighborhood (as it is a member of the base) containing  $p$ . Thus,

for any  $x, y \in Y^*$ , we have produced two disjoint open sets containing them. Hence,  $Y^*$  is Hausdorff.  $\square$

## 8 Problem 16D1

**Proposition 8.1.** *The Sorgenfrey line  $\mathbf{E}$  is Lindelöf.*

*Proof.* Let  $\mathcal{C}$  be a basic open (in  $\mathbf{E}$ ) cover of  $\mathbb{R}$ . That is,  $\mathcal{C} = \{[x_\alpha, y_\alpha) \mid x_\alpha, y_\alpha \in \mathbb{R}, \alpha \in \Gamma\}$ . Let  $\mathcal{C}' = \{(x_\alpha, y_\alpha) \mid [x_\alpha, y_\alpha) \in \mathcal{C}\}$ . Finally, let  $A = \bigcup \mathcal{C}'$ . Evidently,  $\mathcal{C}'$  covers  $A$ . Now, observe that  $\mathcal{C}'$  contains open sets from the usual topology on  $\mathbb{R}$ . Since  $\mathbb{R}$  with the usual topology is second-countable, it is Lindelöf. Hence, there is a countable subcollection of  $\mathcal{C}'$  (and so of  $\mathcal{C}$ ) covering  $A$ .

Next, we claim that  $A$  misses only countably-many points of  $\mathbb{R}$ . To see this, let  $x$  and  $y$  be distinct real numbers that are not elements of  $A$ . Without loss of generality, let  $x < y$ . Since  $\mathcal{C}$  covers  $\mathbb{R}$ , it follows that  $x$  and  $y$  are left endpoints of distinct intervals of  $\mathcal{C}$ . Denote these intervals by  $[x, x')$  and  $[y, y')$ , respectively. Evidently,  $[x, x')$  and  $[y, y')$  are disjoint (if not, then  $y \in (x, x')$ , and so  $y \in A$ ). Hence, we can identify with each of  $x$  and  $y$  a distinct rational number belonging to  $(x, x')$  and  $(y, y')$ , respectively. Thus,  $A$  misses only countably-many elements of  $\mathbb{R}$ , and so there is a countable subcollection of  $\mathcal{C}$  covering  $A$ .

We have shown that  $\mathcal{C}$  admits a countable subcover for both  $A$  and  $\mathbb{R} \setminus A$ . Taking these subcovers together yields the desired subcover of  $\mathbb{R}$ .  $\square$

**Corollary 8.2.** *The Sorgenfrey line is a  $T_4$ -space.*

*Proof.* Since the Sorgenfrey line is regular and Lindelöf, it is normal. Furthermore, it is  $T_1$ . Taken together, we have that the Sorgenfrey line is  $T_4$ .  $\square$

## 9 Problem 16D2

**Proposition 9.1.** *Every uncountable subset of a Lindelöf space contains an accumulation point.*

*Proof.* We establish the contrapositive. To that end, let  $A$  be a subset of a Lindelöf space  $X$  such that  $A$  contains no accumulation points. It follows that, for each  $x \in X$ , there is an open neighborhood  $U_x$  of  $x$  with  $|U_x \cap A|$

finite. Let  $\mathcal{C}$  denote the collection  $\{U_x \mid x \in X\}$ . Since  $\mathcal{C}$  is an open cover of the Lindelöf space  $X$ ,  $\mathcal{C}$  admits a countable subcover  $\mathcal{C}'$  of  $X$  (and so of  $A$ ). Since  $|A \cap U|$  is finite for all  $U$  belonging to the countable collection  $\mathcal{C}'$ , it follows that  $A$  is countable, thus establishing the contrapositive.  $\square$

## 10 Extra Problem

**Proposition 10.1.** *A nonempty collection of subsets of  $X$  is a subbase for some filter on  $X$  if and only if it has the finite intersection property.*

*Proof.* ( $\Rightarrow$ ) We establish the contrapositive. That is, suppose  $\mathcal{A}$  is a nonempty collection of subsets of  $X$  that fails to satisfy the finite intersection property. It follows that there are sets  $A_1, \dots, A_k \in \mathcal{A}$  such that  $\bigcap_{i=1}^k A_i = \emptyset$ . Consider now the collection  $\mathcal{C} = \{\bigcap_{i=1}^n A_i \mid A_i \in \mathcal{A} \text{ for all } i\}$ . Evidently,  $\emptyset \in \mathcal{C}$ , and so it cannot be a base for any filter on  $X$  (as no filter contains the empty set). Hence,  $\mathcal{A}$  is not a subbase for any filter on  $X$ , thus establishing the contrapositive.

( $\Leftarrow$ ) Let  $\mathcal{S}$  be a nonempty collection of subsets of  $X$  having the finite intersection property. Define  $\mathcal{B}$  to be the collection

$$\{F \subset X \mid \bigcap \mathcal{S}' \subset F \text{ for some finite subcollection } \mathcal{S}' \text{ of } \mathcal{S}\}.$$

Observe first that  $\mathcal{B}$  is a nonempty collection of nonempty subsets of  $X$ , as  $\mathcal{S}$  satisfies the finite intersection property. We further claim that  $\mathcal{B}$  is in fact a base for a filter on  $X$ , and so  $\mathcal{S}$  will be a subbase for this filter. To that end, let  $B_1, B_2 \in \mathcal{B}$ . By definition of  $\mathcal{B}$ ,

$$\begin{aligned} B_1 \cap B_2 &= \left( \bigcap \mathcal{S}_1 \right) \cap \left( \bigcap \mathcal{S}_2 \right) && \text{(some } \mathcal{S}_1, \mathcal{S}_2 \subset \mathcal{S} \text{)} \\ &= \bigcap (\mathcal{S}_1 \cup \mathcal{S}_2). \end{aligned}$$

Evidently,  $\mathcal{S}_1 \cup \mathcal{S}_2$  is a finite subcollection of  $\mathcal{S}$ , as both  $\mathcal{S}_1$  and  $\mathcal{S}_2$  are. Hence,  $B_1 \cap B_2 \in \mathcal{B}$ , and so  $\mathcal{B}$  is a base for the filter  $\{F \subset X \mid B \subset F \text{ for some } B \in \mathcal{B}\}$ .  $\square$

## 11 Problem 12B1

**Proposition 11.1.** *The intersection of any number of filters on  $X$  is a filter on  $X$ .*

*Proof.* Let  $\mathcal{F}$  be the intersection of filters  $\mathcal{F}_\alpha$  for  $\alpha \in \Gamma$ . We claim that  $\mathcal{F}$  is itself a filter.

First, observe that  $\emptyset \notin \mathcal{F}$  and  $X \in \mathcal{F}$ , since this is the case for each of the  $\mathcal{F}_\alpha$ .

Next, let  $F_1$  and  $F_2$  be elements of  $\mathcal{F}$ . It follows that  $F_1$  and  $F_2$  belong to  $\mathcal{F}_\alpha$  for all  $\alpha \in \Gamma$ , and so  $F_1 \cap F_2 \in \mathcal{F}_\alpha$  for all  $\alpha \in \Gamma$ . Hence,  $F_1 \cap F_2 \in \mathcal{F}$ .

Finally, let  $F \in \mathcal{F}$  and let  $G$  be any set containing  $F$ . Since  $F \in \mathcal{F}_\alpha$  for all  $\alpha \in \Gamma$ , it follows that  $G \in \mathcal{F}_\alpha$  for all  $\alpha \in \Gamma$ . Hence,  $G \in \mathcal{F}$ .

Taken together, the above three observations verify that  $\mathcal{F}$  is indeed a filter, as desired.  $\square$

## 12 Problem 12B3

**Proposition 12.1.** *Every filter is the intersection of the ultrafilters containing it.*

*Proof.* Let  $\mathcal{F}$  be a filter and let  $\mathcal{U} = \bigcap_{\alpha \in \Gamma} \mathcal{U}_\alpha$ , where the  $\mathcal{U}_\alpha$  constitute all ultrafilters containing  $\mathcal{F}$ . Certainly,  $\mathcal{F} \subset \mathcal{U}$ . Suppose, for the purpose of contradiction, that this inclusion is strict. It follows that there is some set  $A$  with  $A \notin \mathcal{F}$  and  $A \in \mathcal{U}$ . Consider the filter  $\mathcal{G}$  generated by  $\mathcal{F} \cup \{A^c\}$  (this is indeed a filter, since  $A \notin \mathcal{F}$  and so  $\emptyset \notin \mathcal{G}$ ). We have that  $\mathcal{F} \subset \mathcal{G} \subset \mathcal{U}_\beta$ , for some  $\beta \in \Gamma$ . Now, since  $A \in \mathcal{U}$ ,  $A \in \mathcal{U}_\beta$ , but also  $A^c \in \mathcal{U}_\beta$ , which is a contradiction. Therefore, it must be that  $\mathcal{F} = \mathcal{U}$ .  $\square$

## 13 Problem 16C1

**Definition 13.1.** *Let  $\aleph$  be any cardinal number. A space  $X$  is said to have caliber  $\aleph$  if, whenever  $\mathcal{U}$  is a family of open subsets of  $X$  with  $|\mathcal{U}| = \aleph$ , then there exists a subfamily  $\mathcal{V}$  of  $\mathcal{U}$  with  $|\mathcal{V}| = \aleph$  and  $\bigcap \mathcal{V} \neq \emptyset$ .*

**Proposition 13.2.** *Every separable space has caliber  $\aleph_1$ .*

*Proof.* Let  $X$  be a separable space having countable dense subset  $D$ . Let  $\mathcal{U}$  be a collection of open subsets of  $X$  with  $|\mathcal{U}| = \aleph_1$ . As  $D$  is dense in  $X$ , each open set of  $\mathcal{U}$  contains a point of  $D$ . It follows that there exists  $x \in D$  belonging to uncountably-many open sets of  $\mathcal{U}$ . (Were this not the case, each of the elements of the countable set  $D$  appears in only countably-many open

sets of  $\mathcal{U}$ , which would imply that  $\mathcal{U}$  is countable.) Let  $\mathcal{V} = \{U \in \mathcal{U} \mid x \in U\}$ . Evidently,  $|\mathcal{V}| = \aleph_1$  and  $x \in \bigcap \mathcal{V}$ . Therefore,  $X$  has caliber  $\aleph_1$ .  $\square$

## 14 Problem 16C3

**Definition 14.1.** We say  $X$  satisfies the countable chain condition provided every family of disjoint open subsets of  $X$  is countable.

**Proposition 14.2.** If  $X$  has caliber  $\aleph_1$ , then  $X$  satisfies the countable chain condition.

*Proof.* We establish the contrapositive. To that end, suppose that  $X$  does not satisfy the countable chain condition. It follows that there is an uncountable family  $\mathcal{U}$  of disjoint open sets of  $X$ . By the Axiom of Choice, we may assume that  $|\mathcal{U}| = \aleph_1$  (if  $|\mathcal{U}| > \aleph_1$ , we use the Axiom of Choice to reduce it to a collection of size  $\aleph_1$ ). Now, since  $\mathcal{U}$  is a collection of disjoint sets, it follows that  $\bigcap \mathcal{U} = \emptyset$ , and so  $X$  does not have caliber  $\aleph_1$ .  $\square$

## 15 Problem 16D3

**Proposition 15.1.** A regular space is Lindelöf if and only if each open cover has a countable subcollection whose closures cover (i.e. has a countable dense subsystem).

*Proof.* ( $\Rightarrow$ ) Let  $X$  be a Lindelöf space. Any open cover of  $X$  admits a countable subcover  $\mathcal{U}$ . Evidently,  $U \subset \bar{U}$  for all  $U \in \mathcal{U}$ , and so the set  $\{\bar{U} \mid U \in \mathcal{U}\}$  is also a countable cover of  $X$ .

( $\Leftarrow$ ) Let  $X$  be a regular space and let  $\mathcal{U}$  be an open cover of  $X$ . For each  $x \in X$ , let  $U_x$  be an open set in  $\mathcal{U}$  containing  $x$ . Since  $X$  is regular, there is an open set  $V_x$  containing  $x$  with  $\bar{V}_x \subset U_x$ . Let  $\mathcal{V}$  be the collection of sets  $V_x$  for all  $x \in X$ . Evidently,  $\mathcal{V}$  is also a cover of  $X$ . By hypothesis,  $\mathcal{V}$  admits a countable subcollection  $\mathcal{V}' = \{V_i \mid i \in \mathbb{N}\}$  such that

$$\bigcup_{i \in \mathbb{N}} \bar{V}_i = X.$$

By construction, each  $\bar{V}_i$  is contained in some open set (call it  $U_i$ ) belonging to  $\mathcal{U}$ . It follows that the collection  $\{U_i \mid i \in \mathbb{N}\}$  is a countable subcollection of  $\mathcal{U}$  covering  $X$ . Therefore,  $X$  is Lindelöf.  $\square$

## 16 Problem 17C1

**Definition 16.1.** A compact space  $X$  is maximal compact provided every strictly finer topology on  $X$  is noncompact.

**Proposition 16.2.** A compact space  $X$  is maximal compact if and only if every compact subset is closed.

*Proof.* ( $\Rightarrow$ ) We establish the contrapositive. To that end, let  $(X, \tau)$  be a compact space having a compact subset  $K$  that is not closed. Furthermore, let  $\mathcal{B}$  be a base for the open sets of  $X$ .

Now, since  $K$  is not closed,  $X - K$  is not open. Let  $\tau'$  be the topology generated by  $\tau \cup \{X - K\}$ . Evidently,  $\tau'$  is a strictly finer topology than  $\tau$ .

We claim next that  $(X, \tau')$  is compact. To that end, let  $\mathcal{C}$  be an open cover (using sets from  $\tau'$ ) of  $X$ . If  $X - K \notin \mathcal{C}$ , then only open sets of  $\tau$  appear in the cover. Since  $X$  is compact under  $\tau$ , we can find a finite subcover of  $X$ . Suppose then that  $X - K \in \mathcal{C}$ . Since  $K$  is compact under  $\tau$  and  $K$  is disjoint from  $X - K$ , we can find a finite subcover of  $K$  using elements of  $\mathcal{C} - \{X - K\}$ . Taking this cover of  $K$  together with  $X - K$  gives the desired cover of  $X$ .

In either case, we conclude that  $(X, \tau)$  is not maximal, thus establishing the contrapositive.

( $\Leftarrow$ ) We establish the contrapositive. To that end, suppose that  $(X, \tau)$  is not maximal compact. Let  $\tau'$  denote a strictly finer compact topology than  $\tau$ . Since  $\tau'$  is strictly finer than  $\tau$ , we can find a closed set  $F$  belonging to  $\tau'$  but not belonging to  $\tau$ . Since  $F$  is a closed (under  $\tau'$ ) subset of a compact space,  $F$  is compact under  $\tau'$ . Hence, every open cover of  $F$  by open sets of  $\tau'$  admits a finite subcover. In particular, any open cover of  $F$  using only open sets of  $\tau$  admits a finite subcover, and so  $F$  is compact under  $\tau$ . Hence, under  $\tau$ ,  $F$  is a compact subset of  $X$  that is not closed, thus establishing the contrapositive.  $\square$

## 17 Problem 17F4

**Proposition 17.1.** Let  $X_1, X_2, \dots$  all be first countable. The product  $\prod X_n$  is countably compact if and only if each  $X_n$  is countably compact.

*Proof.* ( $\Rightarrow$ ) Let  $\prod X_n$  be countably compact. That is, every countable open cover of  $\prod X_n$  admits a finite subcover. For a fixed  $k$ , let  $\mathcal{C}$  be a countable

open cover of  $X_k$ . For each open  $C \in \mathcal{C}$ , let  $U_C = \prod U_i$ , where  $U_k = C$  and  $U_i = X_i$  for  $i \neq k$ . Denote by  $\mathcal{D}$  the collection  $\{U_C \mid C \in \mathcal{C}\}$ . Evidently,  $\mathcal{D}$  is a countable open cover of  $\prod X_n$ , which admits a finite subcover  $\mathcal{D}'$  by the countable compactness of  $\prod X_n$ . It follows that the collection of all  $U_k$  from the open sets belonging to  $\mathcal{D}'$  (that is, the collection containing the factor corresponding to  $X_k$  from each open set in  $\mathcal{D}'$ ) is a finite subcollection of  $\mathcal{C}$  covering  $X_k$ , and so  $X_k$  is countably compact. As  $k$  was arbitrary, it follows that each of the product spaces is countably compact.

( $\Leftarrow$ ) As every countably compact space is sequentially compact, it suffices to show that  $\prod X_n$  is sequentially compact. To that end, let  $\langle x_n \rangle$  be a sequence in the product space. For each  $i$ ,  $\langle x_n(i) \rangle$  is a sequence in  $X_i$ . Since  $X_i$  is first countable and countably compact, it is sequentially compact. Hence,  $\langle x_n(i) \rangle$  has a convergent subsequence  $\langle x_{n_k}(i) \rangle$ . (This is not itself enough to conclude that the sequence in the product has a convergent subsequence, as, in general, the product of sequentially compact spaces need not be sequentially compact. Hence, I have to make more explicit use of first countability, but I do not see how to do this.)  $\square$

## 18 Problem 17F5

**Proposition 18.1.** *Continuous images of countably compact spaces are countably compact.*

*Proof.* Let  $f$  be a continuous function from the countably compact space  $X$  onto  $Y$  and let  $\mathcal{C}$  be a countable open cover of  $Y$ . By the continuity of  $f$ , the set  $\{f^{-1}(C) \mid C \in \mathcal{C}\}$  is an open cover of  $X$ . Since  $X$  is countably compact,  $\{f^{-1}(C) \mid C \in \mathcal{C}\}$  admits a finite subcover  $\{f^{-1}(C_1), \dots, f^{-1}(C_n) \mid C_i \in \mathcal{C}\}$  of  $X$ . Since  $f$  is onto, it follows that the  $C_i$  cover  $Y$ . Hence, there is a finite subcover of the countable cover  $\mathcal{C}$ , and therefore  $Y$  is countably compact.  $\square$

**Proposition 18.2.** *Closed subspaces of countably compact spaces are countably compact.*

*Proof.* Let  $X$  be countably compact,  $F$  a closed subspace of  $X$ , and  $\mathcal{C}$  a countable open cover of  $F$ . For each  $C \in \mathcal{C}$ , there is an open set  $U_C \in X$  such that  $U_C \cap F = C$ . Now, since  $F$  is closed,  $X - F$  is open in  $X$ . Hence,  $\{X - F\} \cup \{U_C \mid C \in \mathcal{C}\}$  is an open cover of  $X$ , which by the countable compactness of  $X$  admits a finite subcover  $\{X - F\} \cup \{U_1, \dots, U_n \mid U_i \in X\}$ .

It follows that  $\{U_1 \cap F, \dots, U_n \cap F\}$  is a finite subcollection of  $\mathcal{C}$  covering  $F$ .  $\square$

## 19 Problem 22F1

**Lemma 19.1.** *Let  $x$  and  $y$  be real numbers both strictly greater than  $-1$ . If  $x \leq y$ , then  $\frac{x}{1+x} \leq \frac{y}{1+y}$ .*

*Proof.* We have that

$$\begin{aligned}x &\leq y \\x + xy &\leq y + xy \\x(1 + y) &\leq y(1 + x) \\ \frac{x}{1 + x} &\leq \frac{y}{1 + y} \quad (\text{since } x, y \text{ strictly greater than } -1).\end{aligned}$$

$\square$

**Remark 19.2.** *One can think of the previous lemma as follows: Suppose we have  $x$  mL of salt and  $y$  mL of water and we add them each to separate 1 mL containers of water. The ratio  $\frac{x}{1+x}$  measures the concentration of salt in the first container and  $\frac{y}{1+y}$  measures the concentration of salt in the second container. Since  $x$  is a smaller amount of salt than  $y$ , then surely the first container is less concentrated than the second. That is,  $\frac{x}{1+x} \leq \frac{y}{1+y}$ .*

**Proposition 19.3.** *If  $\rho$  is a metric on  $X$ , then both*

$$\rho_1(x, y) = \min\{1, \rho(x, y)\}$$

and

$$\rho_2(x, y) = \frac{\rho(x, y)}{1 + \rho(x, y)}$$

are metrics equivalent to  $\rho$  on  $X$ .

*Proof.* We first establish that  $\rho_1$  and  $\rho_2$  are indeed metrics on  $X$ . In what follows, let  $x, y, z$  be arbitrary points in  $X$ .

For the positive definiteness of  $\rho_1$ , observe that

$$\begin{aligned}\rho_1(x, y) &= \min\{1, \rho(x, y)\} \\ &\geq 0 \quad (\text{since } \rho \text{ is positive definite}),\end{aligned}$$

with equality if and only if  $\rho(x, y) = 0$ , which occurs if and only if  $x = y$  (since  $\rho$  is positive definite).

For the symmetry of  $\rho_1$ , observe that

$$\begin{aligned}\rho_1(x, y) &= \min\{1, \rho(x, y)\} \\ &= \min\{1, \rho(y, x)\} && \text{(since } \rho \text{ is symmetric)} \\ &= \rho_1(y, x).\end{aligned}$$

For the subadditivity of  $\rho_1$ , observe that

$$\begin{aligned}\rho_1(x, y) &= \min\{1, \rho(x, y)\} \\ &\leq \min\{1, \rho(x, z) + \rho(z, y)\} && \text{(since } \rho \text{ is subadditive)} \\ &\leq \min\{1, \rho(x, z)\} + \min\{1, \rho(z, y)\} \\ &= \rho_1(x, z) + \rho_1(z, y).\end{aligned}$$

Therefore,  $\rho_1$  is a metric on  $X$ .

For the positive definiteness of  $\rho_2$ , observe that

$$\begin{aligned}\rho_2(x, y) &= \frac{\rho(x, y)}{1 + \rho(x, y)} \\ &\geq 0 && \text{(since } \rho \text{ is positive definite),}\end{aligned}$$

with equality if and only if  $\rho(x, y) = 0$ , which occurs if and only if  $x = y$  (since  $\rho$  is positive definite).

For the symmetry of  $\rho_2$ , observe that

$$\begin{aligned}\rho_2(x, y) &= \frac{\rho(x, y)}{1 + \rho(x, y)} \\ &= \frac{\rho(y, x)}{1 + \rho(y, x)} && \text{(since } \rho \text{ is symmetric)} \\ &= \rho_2(y, x).\end{aligned}$$

For the subadditivity of  $\rho_2$ , observe that

$$\begin{aligned}\rho(x, y) &\leq \rho(x, z) + \rho(z, y) && \text{(since } \rho \text{ is subadditive)} \\ \frac{\rho(x, y)}{1 + \rho(x, y)} &\leq \frac{\rho(x, z) + \rho(z, y)}{1 + \rho(x, z) + \rho(z, y)} && \text{(by lemma).}\end{aligned}$$

The lefthand side of the inequality is precisely  $\rho_2(x, y)$ , and so we have that

$$\begin{aligned}
\rho_2(x, y) &\leq \frac{\rho(x, z) + \rho(z, y)}{1 + \rho(x, z) + \rho(z, y)} \\
&= \frac{\rho(x, z)}{1 + \rho(x, z) + \rho(z, y)} + \frac{\rho(z, y)}{1 + \rho(x, z) + \rho(z, y)} \\
&\leq \frac{\rho(x, z)}{1 + \rho(x, z)} + \frac{\rho(z, y)}{1 + \rho(z, y)} && \text{(since } \rho \text{ is positive definite)} \\
&= \rho_2(x, z) + \rho_2(z, y).
\end{aligned}$$

Therefore,  $\rho_2$  is a metric on  $X$ .

We show next that  $\rho$  and  $\rho_1$  are equivalent by showing  $(X, \rho)$  and  $(X, \rho_1)$  have the same open sets. To that end, consider the basic open set  $B_\rho(x, \epsilon)$  of  $(X, \rho)$ . We have that

$$B_\rho(x, \epsilon) = \bigcup_{y \in B_\rho(x, \epsilon)} B_\rho(y, \epsilon_y),$$

where each  $\epsilon_y$  depends on  $y$ . By definition of  $\rho_1$ , we have, for each  $y$ ,

$$B_{\rho_1}(y, \epsilon_y) \subset B_\rho(y, \epsilon_y).$$

Taken together, we see that

$$B_\rho(x, \epsilon) = \bigcup_{y \in B_\rho(x, \epsilon)} B_{\rho_1}(y, \epsilon_y),$$

and so  $(X, \rho) \subset (X, \rho_1)$ .

Next, consider the basic open set  $B_{\rho_1}(x, \epsilon)$  of  $(X, \rho_1)$ . As before,

$$B_{\rho_1}(x, \epsilon) = \bigcup_{y \in B_{\rho_1}(x, \epsilon)} B_{\rho_1}(y, \epsilon_y)$$

where each  $\epsilon_y$  depends on  $y$ . In particular, we may insist that  $0 < \epsilon_y \leq 1$  for all  $y$ . With this restriction, we have, for each  $y$ ,

$$B_{\rho_1}(y, \epsilon_y) = B_\rho(y, \epsilon_y).$$

Taken together, we see that

$$B_{\rho_1}(x, \epsilon) = \bigcup_{y \in B_{\rho_1}(x, \epsilon)} B_\rho(y, \epsilon_y),$$

and so  $(X, \rho_1) \subset (X, \rho)$ .

We show next that  $\rho$  and  $\rho_2$  are equivalent by showing that  $(X, \rho)$  and  $(X, \rho_2)$  have the same open sets. That  $(X, \rho) \subset (X, \rho_2)$  follows precisely as in the proof that  $(X, \rho) \subset (X, \rho_1)$ , as  $B_{\rho_2}(x, \epsilon) \subset B_\rho(x, \epsilon)$  for all  $x \in X$  and  $\epsilon > 0$ .

Next, consider the basic open set  $B_{\rho_2}(x, \epsilon)$  of  $(X, \rho_2)$ . As before,

$$B_{\rho_2}(x, \epsilon) = \bigcup_{y \in B_{\rho_2}(x, \epsilon)} B_{\rho_2}(y, \epsilon_y),$$

where each  $\epsilon_y$  depends on  $y$ . Observe now that, for all  $y$ ,

$$\begin{aligned} \rho_2(y, z) = \epsilon_y &\Rightarrow \frac{\rho(y, z)}{1 + \rho(y, z)} = \epsilon_y \\ &\Rightarrow \rho(y, z) = \epsilon_y(1 + \rho(y, z)) \\ &\Rightarrow \rho(y, z) = \frac{\epsilon_y}{1 - \epsilon_y}, \end{aligned}$$

where we insist that  $0 < \epsilon_y < 1$  for all  $y$ . Taken together, we see that

$$B_{\rho_2}(x, \epsilon) = \bigcup_{y \in B_{\rho_2}(x, \epsilon)} B_\rho(y, \frac{\epsilon_y}{1 - \epsilon_y}),$$

and so  $(X, \rho_2) \subset (X, \rho)$ . □

## 20 Problem 22F2

**Proposition 20.1.** *Every metric generating the topology of a compact metrizable space is bounded.*

*Proof.* We proceed by establishing the contrapositive. To that end, let  $\rho$  be an unbounded metric generating a topology on a set  $X$ . Define, for all  $n \in \mathbb{N}$ ,  $B_n$  to be the open set  $B(x, n)$  for some fixed  $x \in X$ . The collection  $\{B_n \mid n \in \mathbb{N}\}$  covers  $X$  but admits no finite subcover. To see this, let  $\mathcal{C}$  be any finite subcollection of  $\{B_n \mid n \in \mathbb{N}\}$ . By construction,  $\bigcup \mathcal{C} \subset B(x, M)$ , where  $M$  denotes the maximum  $n$  such that  $B_n \in \mathcal{C}$ . Since  $\rho$  is unbounded, there is a point  $y \in X$  with  $\rho(x, y) > M$ , and so  $y \notin B(x, M)$ . Hence,  $\mathcal{C}$  does not cover  $X$ , and so  $X$  is not compact, thus establishing the contrapositive. □

## 21 Extra Problem 1

**Lemma 21.1.** *Every point of a closed ball in an ultrametric space is a center.*

*Proof.* Let  $(X, \rho)$  be an ultrametric space, and let  $B(a, \epsilon)$  denote the closed ball around  $a \in X$  of radius  $\epsilon > 0$  (i.e. the set  $\{y \in X \mid \rho(a, y) \leq \epsilon\}$ ). Let  $b \in B(a, \epsilon)$  and let  $x \in B(b, \epsilon)$ . It follows that

$$\begin{aligned}\rho(a, x) &\leq \max\{\rho(a, b), \rho(b, x)\} \\ &\leq \epsilon,\end{aligned}$$

and so  $x \in B(a, \epsilon)$ . Hence,  $B(b, \epsilon) \subset B(a, \epsilon)$ , and a similar proof gives the reverse inclusion. Therefore,  $B(a, \epsilon) = B(b, \epsilon)$ , and so both  $a$  and  $b$  are centers.  $\square$

**Proposition 21.2.** *Every ultrametric space has a base of clopen sets.*

*Proof.* Let  $X$  be an ultrametric space and let  $\mathcal{F}$  denote the collection of all closed balls in  $X$ . Evidently,  $\mathcal{F}$  covers  $X$ . Observe next that, for any two balls of  $\mathcal{F}$ , either they are disjoint or one is contained in the other. To see this, let  $F_1, F_2 \in \mathcal{F}$  with  $x \in F_1 \cap F_2$  (such an  $x$  exists if  $F_1$  and  $F_2$  are not disjoint). Now,  $F_1$  has the form  $B(a, \epsilon_1)$  and  $F_2$  has the form  $B(b, \epsilon_2)$ . Without loss of generality, let  $\epsilon_1 \leq \epsilon_2$ . By the lemma,  $F_1 = B(a, \epsilon_1)$  and  $F_2 = B(a, \epsilon_2)$ , and so  $F_1 \subset F_2$ . From this fact, we conclude that  $\mathcal{F}$  is a base for  $X$  (any  $F_1$  and  $F_2$  with nontrivial intersection has one containing the other).

Now, closed balls are certainly closed in this topology, as  $\overline{F} = F$  for all  $F \in \mathcal{F}$ . To see that they are also open, observe that, by the lemma,  $F$  contains a basic neighborhood of each of its points (namely,  $F$  itself). Therefore,  $\mathcal{F}$  is a base of clopen sets for  $X$ .  $\square$

## 22 Extra Problem 2

**Proposition 22.1.** *The metric given by*

$$d(x, y) = \begin{cases} 0 & \text{if } x = y \\ \frac{1}{2^n} & \text{otherwise, where } n = \max\{n \mid x(i) = y(i) \forall i \leq n\} \end{cases}$$

*gives the product topology on  $\mathbb{N}^{\mathbb{N}}$ .*

*Proof.* Let  $\mathcal{B}$  denote the collection of all open balls of  $\mathbb{N}^{\mathbb{N}}$ . Evidently,  $\mathcal{B}$  covers  $\mathbb{N}^{\mathbb{N}}$ . To see that it is, in fact, a base for  $\mathbb{N}^{\mathbb{N}}$ , let  $B_1, B_2 \in \mathcal{B}$  and let  $x \in B_1 \cap B_2$ . Now,  $B_1$  is of the form  $B(a, \frac{1}{2^m})$  and  $B_2$  is of the form  $B(b, \frac{1}{2^n})$ . Without loss of generality, let  $m \leq n$ . It follows that  $x(i) = a(i) = b(i)$  for all  $i \leq m$ . Hence,  $x \in B(x, \frac{1}{2^m}) \subset B_1 \cap B_2$ , and so  $\mathcal{B}$  is a base for  $\mathbb{N}^{\mathbb{N}}$ .

Now, the sets of  $\mathcal{B}$  can be expressed as

$$B\left(x, \frac{1}{2^n}\right) = \prod_{i=1}^n \{x(i)\} \times \prod_{i=n+1}^{\infty} \mathbb{N}.$$

In other words, the basic open sets are products of open sets  $U_n$  of  $\mathbb{N}$  where  $U_n = \mathbb{N}$  for all but finitely-many  $n$ . Hence,  $\mathcal{B}$  induces the product topology on  $\mathbb{N}^{\mathbb{N}}$ .  $\square$

## 23 Problem 17F1

**Proposition 23.1.** *A space is countably compact if and only if each sequence has a cluster point.*

*Proof.* ( $\Rightarrow$ ) We proceed by establishing the contrapositive. To that end, suppose there is a sequence  $\langle x_n \rangle$  having no cluster point in  $X$ . That is, for every  $x \in X$ , there exists a neighborhood (without loss of generality, an *open* neighborhood)  $N_x$  of  $x$  such that  $N_x \setminus \{x\}$  contains no point of  $\langle x_n \rangle$ . Denote by  $N$  the open set  $\bigcup \{N_x \mid x \text{ is not an element } \langle x_n \rangle\}$ . By construction,  $N$  contains no element of the sequence  $\langle x_n \rangle$ . Finally, let  $\mathcal{C}$  denote the collection of open sets  $\{N_x \mid x \text{ is an element of } \langle x_n \rangle\} \cup \{N\}$ . We see that  $\mathcal{C}$  is a countable cover of  $X$  that admits no finite subcover. Indeed, omitting *any*  $N_x$  results in a subcollection missing  $x$ . Therefore,  $X$  is not countably compact, thus establishing the contrapositive.

( $\Leftarrow$ ) Let  $X$  be a space with the property that every sequence has a cluster point. Take any family of closed sets  $C_n$  having the finite intersection property and let  $x_n$  be any point belonging to  $\bigcap_{i=1}^n C_i$ . Let  $x$  be a cluster point of the sequence  $\langle x_n \rangle$  and let  $O$  be any open set containing  $x$ . Since  $x$  is a cluster point,  $O$  contains infinitely-many  $x_n$ , and hence  $O$  intersects every  $C_n$ . As  $O$  was arbitrary, we conclude that  $x$  belongs to every  $C_n$ . Hence,  $x \in \bigcap_{n=1}^{\infty} C_n$ , and so  $X$  is countably compact.  $\square$

## 24 Problem 20B

**Lemma 24.1.** *Let  $\mathcal{U}$  be a collection of open sets. For all  $U \in \mathcal{U}$ ,*

$$\text{St}(U, \mathcal{U}) = \bigcup_{x \in U} \text{St}(x, \mathcal{U}).$$

*Proof.* (To be included if the lemma turns out to be useful) □

**Proposition 24.2.** *A barycentric refinement of a barycentric refinement of a cover  $\mathcal{W}$  is a star-refinement of  $\mathcal{W}$ .*

*Proof.* Let  $\mathcal{U} \Delta \mathcal{V} \Delta \mathcal{W}$ . Our goal is to show that  $\mathcal{U}^* < \mathcal{W}$ . To that end, let  $U \in \mathcal{U}$ . Now,

$$\begin{aligned} \text{St}(U, \mathcal{U}) &= \bigcup_{x \in U} \text{St}(x, \mathcal{U}) && \text{(by lemma)} \\ &\subset \bigcup_{x \in U} V_x, \text{ for some } V_x \in \mathcal{V} && \text{(since } \mathcal{U} \Delta \mathcal{V}) \\ &\subset \bigcup_{x \in U} \text{St}(x, \mathcal{V}) \\ &\subset \bigcup_{x \in U} W_x, \text{ for some } W_x \in \mathcal{W} && \text{(since } \mathcal{V} \Delta \mathcal{W}). \end{aligned}$$

(My problem now is that there is no guarantee that  $\bigcup_{x \in U} W_x \in \mathcal{W}$ , nor can I force  $\bigcup_{x \in U} \text{St}(x, \mathcal{V}) \subset \text{St}(x_0, \mathcal{V})$  for any single  $x_0$ .) □

**Proposition 24.3.** *If  $\mathcal{U}_n$  is the cover of a metric space  $(X, d)$  by  $(\frac{1}{3^n})$ -balls about each of its points, then  $\mathcal{U}_{n+1}^* < \mathcal{U}_n$ .*

*Proof.* Let  $U \in \mathcal{U}_{n+1}$ . By definition,  $U = B(x, \frac{1}{3^{n+1}})$  for some  $x \in X$ . Consider now any point  $y \in \text{St}(U, \mathcal{U}_{n+1})$ . Now,  $y \in V$  for some  $V \in \mathcal{U}_{n+1}$  with  $U \cap V \neq \emptyset$ . It follows that  $d(x, y) < \frac{3}{3^{n+1}} = \frac{1}{3^n}$ , as  $x$  is the center of a  $(\frac{1}{3^{n+1}})$ -ball and  $V$  has diameter  $\frac{2}{3^{n+1}}$ . Hence,  $\text{St}(U, \mathcal{U}_{n+1}) \subset B(x, \frac{1}{3^n}) \in \mathcal{U}_n$ , as desired. □

**Proposition 24.4.** *If  $\mathcal{U}$  is an open cover of  $X$ ,  $\mathcal{V}$  is an open barycentric refinement of  $\mathcal{U}$ , and for each  $U \in \mathcal{U}$  we define  $F_U = X - \text{St}(X - U, \mathcal{V})$ , then  $\{F_U \mid U \in \mathcal{U}\}$  is a closed cover of  $X$ .*

*Proof.* The fact that each  $F_U$  is closed is immediate, since it is the complement of a union of open sets. Let now  $x \in X$ . Since  $\mathcal{V} \Delta \mathcal{U}$ ,  $\text{St}(x, \mathcal{V}) \subset U$ , for some  $U \in \mathcal{U}$ . We claim that  $x \in F_U$  for this  $U$ . Suppose, for the purpose of contradiction, that this is not the case. This means that  $x \in \text{St}(X - U, \mathcal{V})$ . In particular, there exists some  $V \in \mathcal{V}$  with  $x \in V$  and  $V \cap (X - U) \neq \emptyset$ . On the other hand,  $V$  is an open set containing  $x$ , and so  $V \subset \text{St}(x, \mathcal{V}) \subset U$ . Having arrived at a contradiction ( $V$  cannot be both a subset of  $U$  and also intersect  $X - U$  nontrivially), we conclude that  $x \in F_U$ . As  $x$  was chosen arbitrarily, we see that  $\{F_U \mid U \in \mathcal{U}\}$  is indeed a closed cover of  $X$ , as desired.  $\square$

Define  $\sim$  in any space  $X$  by  $x \sim y$  if and only if  $x$  and  $y$  lie together in some connected subset of  $X$ . Define  $\approx$  in  $X$  by  $x \approx y$  if and only if there is no decomposition  $X = U \cup V$  into disjoint open sets with one containing  $x$  and the other containing  $y$ .

## 25 Problem 26B1

**Proposition 25.1.** *The relation  $\sim$  is an equivalence on  $X$ . The equivalence class  $[x]$  of  $x$  is just the component  $C_x$  of  $x$  in  $X$ .*

*Proof.* The relation  $\sim$  is reflexive, as  $x$  lies within the connected subset of  $X$  containing it (that is,  $x \sim x$ ).

The relation  $\sim$  is symmetric, as “ $x$  and  $y$  lie together in some connected subset” has the same meaning as “ $y$  and  $x$  lie together in some connected subset”.

To see that  $\sim$  is transitive, suppose that  $x \sim y$  and  $y \sim z$  for some  $x, y, z \in X$ . Let  $U$  denote the connected subset of  $X$  containing  $x$  and  $y$ , and let  $V$  denote the connected subset of  $X$  containing  $y$  and  $z$ . We see that  $U \cap V \neq \emptyset$  (as  $y \in U \cap V$ ), and so  $U \cup V$  is connected. Hence,  $x \sim z$ , as they lie together in the connected subset  $U \cup V$  of  $X$ .

Taken together, we conclude that  $\sim$  is an equivalence relation on  $X$ .

Now, let  $y \in [x]$ . This means that  $x$  and  $y$  lie in some connected subset of  $X$ . Since  $C_x$  is the union of *all* connected subsets of  $X$  containing  $x$ , we see that  $y \in C_x$ . Next, let  $y \in C_x$ . Since  $x$  and  $y$  both lie in the connected component  $C_x$ , we see that  $y \in [x]$ . Hence,  $[x] = C_x$ , as desired.  $\square$

## 26 Problem 26B2

**Proposition 26.1.** *The relation  $\approx$  is an equivalence on  $X$ . We call the equivalence class of  $x$  the quasicomponent of  $x$  in  $X$ . The quasicomponent of  $x$  in  $X$  is the intersection of all clopen subsets of  $X$  that contain  $x$ .*

*Proof.* The relation  $\approx$  is reflexive, since there can be no decomposition separating  $x$  from itself (that is,  $x \sim x$ ).

The relation  $\approx$  is symmetric, as  $U$  and  $V$  are indistinguishable in the definition. That is, the phrase “one containing  $x$  and the other containing  $y$ ” has the same meaning as “one containing  $y$  and the other containing  $x$ ”.

To see that  $\approx$  is transitive, we establish the contrapositive. To that end, suppose that  $x \not\approx z$ . This means that there are disjoint open sets  $U$  and  $V$  such that (without loss of generality)  $x \in U$ ,  $z \in V$ , and  $X = U \cup V$ . Now, it must be that  $y$  belongs to one of  $U$  and  $V$ . If  $y \in U$ , then  $U$  and  $V$  represent a decomposition of  $X$  of the appropriate type separating  $y$  from  $z$ , and so  $y \not\approx z$ . Similarly, if  $y \in V$ , then  $U$  and  $V$  separate  $x$  from  $y$ , and so  $x \not\approx y$ . Hence, we have that  $x \not\approx z \Rightarrow (x \not\approx y) \vee (y \not\approx z)$ , thus establishing the contrapositive.

Taken together, we conclude that  $\approx$  is an equivalence relation on  $X$ .

Let now  $F$  denote the intersection of all clopen subsets of  $X$  containing  $x$ . Let  $y \in F$ . This means that  $y$  belongs to *every* clopen subset of  $X$  containing  $x$ . In particular,  $y \in C_x$ . Since  $C_x$  is connected, we see that  $x$  cannot be separated from  $y$  by a pair of disjoint open sets whose union is  $X$  (otherwise, these sets would disconnect  $C_x$ ). Hence,  $y \in [x]$ . Next, we show that  $[x] \subset F$  by establishing the contrapositive. To that end, suppose that  $y \notin F$ . This means there is some clopen subset  $F_y$  of  $X$  such that  $x \in F_y$  but  $y \notin F_y$ . It follows that  $F_y$  and  $F_y^c$  are disjoint open sets with  $x \in F_y$ ,  $y \in F_y^c$ , and  $X = F_y \cup F_y^c$ . Hence,  $y \notin [x]$ , thus establishing the contrapositive. Therefore,  $[x] = F$ , as desired.  $\square$

(I could make no sense of Willard's picture, so I provide a different space where the components and quasicomponents may disagree.)

## 27 Extra Problem

**Proposition 27.1.** *Let  $A$  denote the set  $\{\frac{1}{n} \mid n \in \mathbb{Z}^+\}$  and let  $X$  be the space  $(A \times [0, 1]) \cup \{(0, 0)\} \cup \{(0, 1)\}$  with the relative Euclidean topology. The points  $\{(0, 0)\}$  and  $\{(0, 1)\}$  belong to separate components, but belong to the same quasicomponent.*

*Proof.* Evidently,  $C_{(0,0)} = \{(0, 0)\}$ , since  $\{(0, 0)\}$  is the *only* connected subset of  $X$  containing  $(0, 0)$ . We claim next that  $\{(0, 0), (0, 1)\} \subset [(0, 0)]$ . Suppose, for the purpose of contradiction, that there are disjoint open sets  $U$  and  $V$  such that (without loss of generality)  $(0, 0) \in U$ ,  $(0, 1) \in V$ , and  $U \cup V = X$ . Since  $U$  is open,  $(0, 0) \subsetneq U$ . Similarly,  $(0, 1) \subsetneq V$ . Let  $k$  be a natural number such that both  $U \cap (\frac{1}{k} \times [0, 1]) \neq \emptyset$  and  $V \cap (\frac{1}{k} \times [0, 1]) \neq \emptyset$ . Now, as  $\frac{1}{k} \times [0, 1]$  is a connected subset of  $X$ , it must belong entirely to  $U$  or  $V$ , contradicting our previous assertion that *both*  $U$  and  $V$  intersect it nontrivially. Therefore,  $(0, 0)$  and  $(0, 1)$  belong to separate components, yet they belong to the same quasicomponent.  $\square$